

# Parameterization of Boundary Layer Clouds: A GCCS perspective



**A. Pier Siebesma**

[siebesma@knmi.nl](mailto:siebesma@knmi.nl)

**chair GCCS**

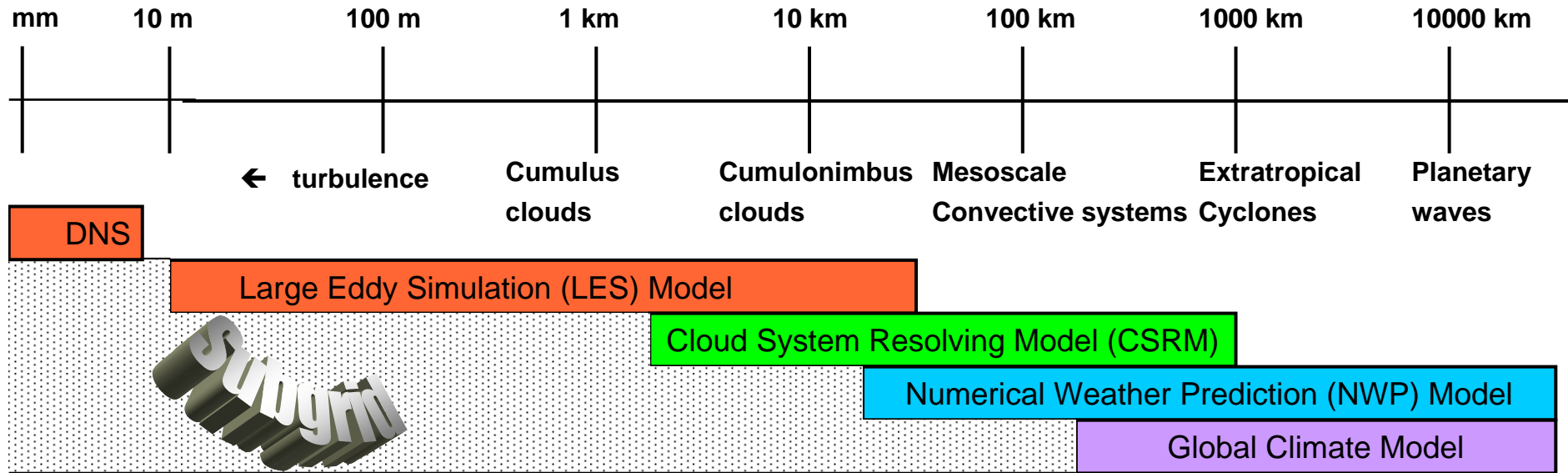
**KNMI, De Bilt, The Netherlands**

**Technical University Delft**

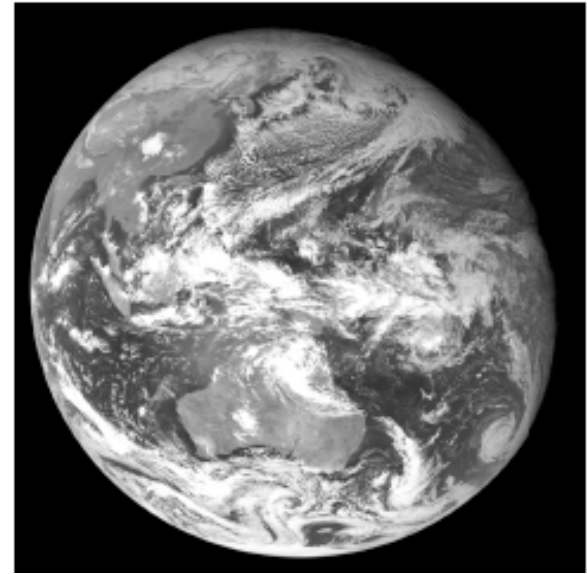
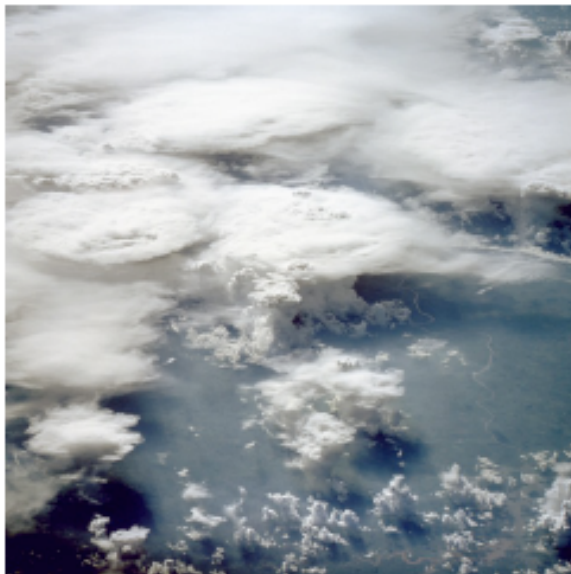
**Multiscale Physics Group**

**Delft, The Netherlands**

# The Zoo of Atmospheric Models

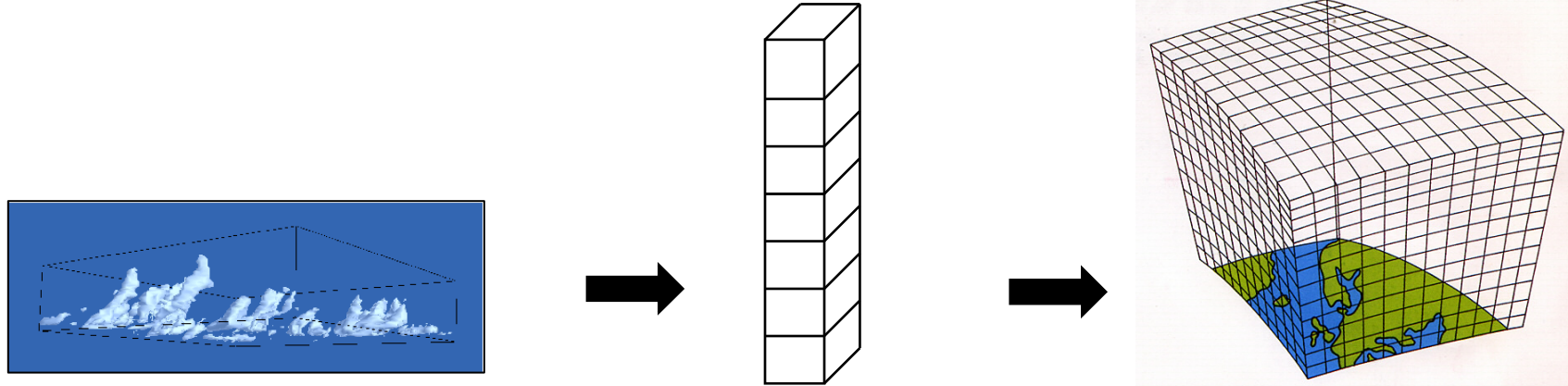


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# GEWEX Cloud Systems Studies (GCSS) (Simplified) Working Strategy

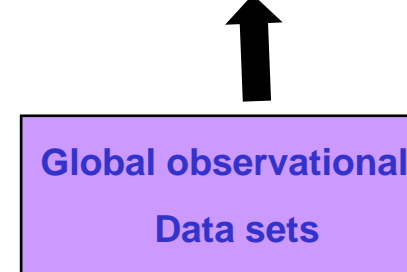
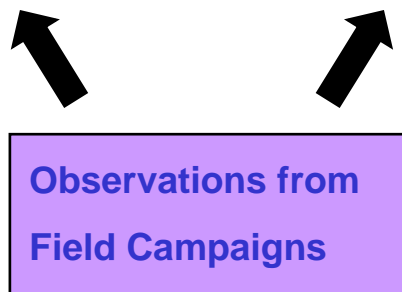
See <http://www.gewex.org/gcss.html>



Large Eddy Simulation (LES) Models  
Cloud Resolving Models (CRM)

Single Column Model  
Versions of Climate Models

3d-Climate Models  
NWP's

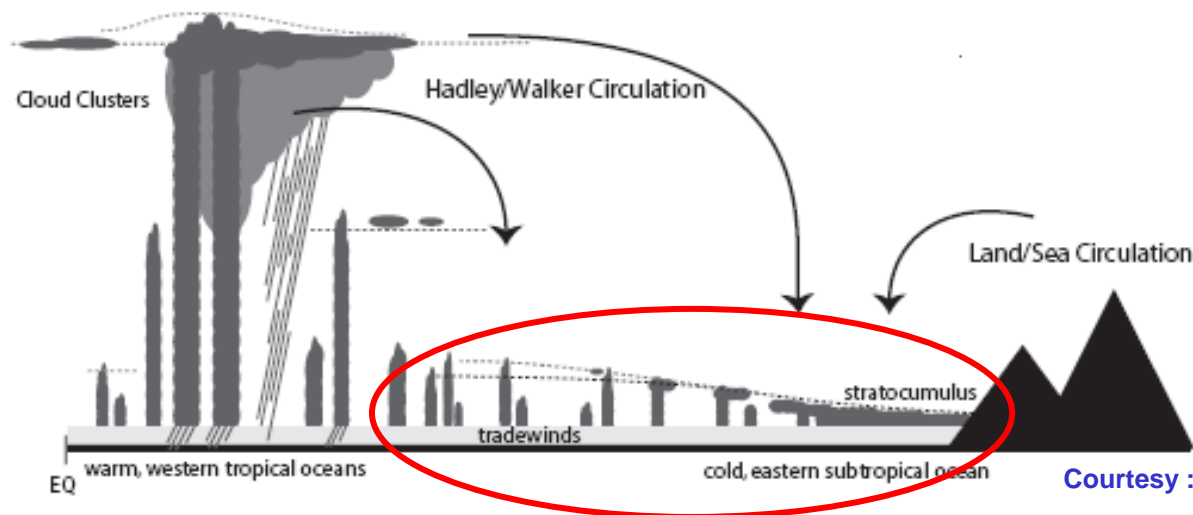
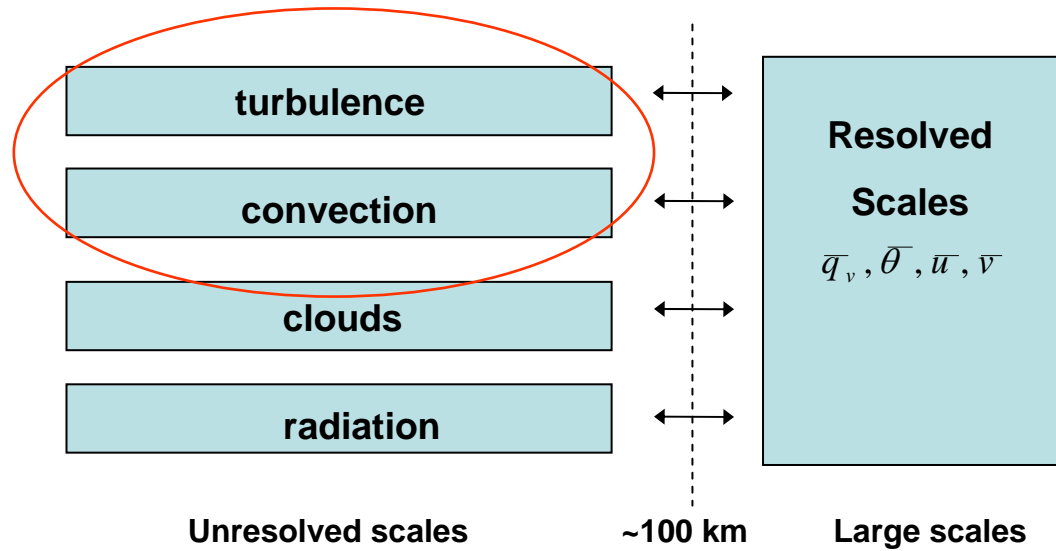


**Development**  
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**Testing**

**Evaluation**

# History and Progress in Conventional Parameterizations for the Cloudy PBL



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Courtesy : Bjorn Stevens

## Grid Averaged Equations of thermodynamic variables

$$\frac{\partial \bar{\theta}}{\partial t} = -\mathbf{v} \cdot \nabla \bar{\theta} - \bar{w} \frac{\partial \bar{\theta}}{\partial z} - \frac{\partial}{\partial x_i} \overline{u'_i \theta'} + \frac{L}{\pi c_p} (c - e) + Q_{rad}$$

$$\frac{\partial \bar{q}_v}{\partial t} = -\mathbf{v} \cdot \nabla \bar{q}_v - \bar{w} \frac{\partial \bar{q}_v}{\partial z} - \frac{\partial}{\partial x_i} \overline{u'_i q'_v} - (c - e)$$

$$\frac{\partial \bar{q}_l}{\partial t} = -\mathbf{v} \cdot \nabla \bar{q}_l - \bar{w} \frac{\partial \bar{q}_l}{\partial z} - \frac{\partial}{\partial x_i} \overline{u'_i q'_l} + (c - e) - P_r$$



Large scale  
advection



Large scale  
subsidence



turbulent  
transport



Net  
Condensation  
Rate



## Introduce moist conserved variables!

$$\theta_l \approx \theta - \frac{L}{c_p \pi} q_l$$

•Liquid water potential Temperature

$$q_t \equiv q_v + q_l$$

•Total water specific humidity

$$\begin{aligned} \frac{\partial \bar{\theta}_l}{\partial t} &= -\mathbf{v} \cdot \nabla \bar{\theta}_l - \bar{w} \frac{\partial \bar{\theta}_l}{\partial z} - \frac{\partial}{\partial z} \overline{w' \theta'_l} + Q_{rad} \\ \frac{\partial \bar{q}_t}{\partial t} &= -\mathbf{v} \cdot \nabla \bar{q}_t - \bar{w} \frac{\partial \bar{q}_t}{\partial z} - \frac{\partial}{\partial z} \overline{w' q'_t} - P_r \end{aligned}$$

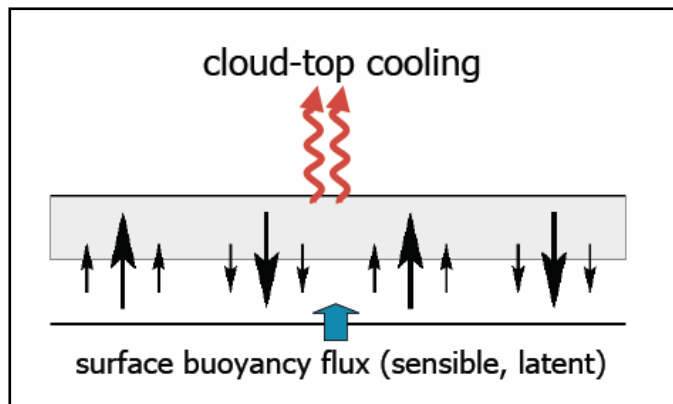
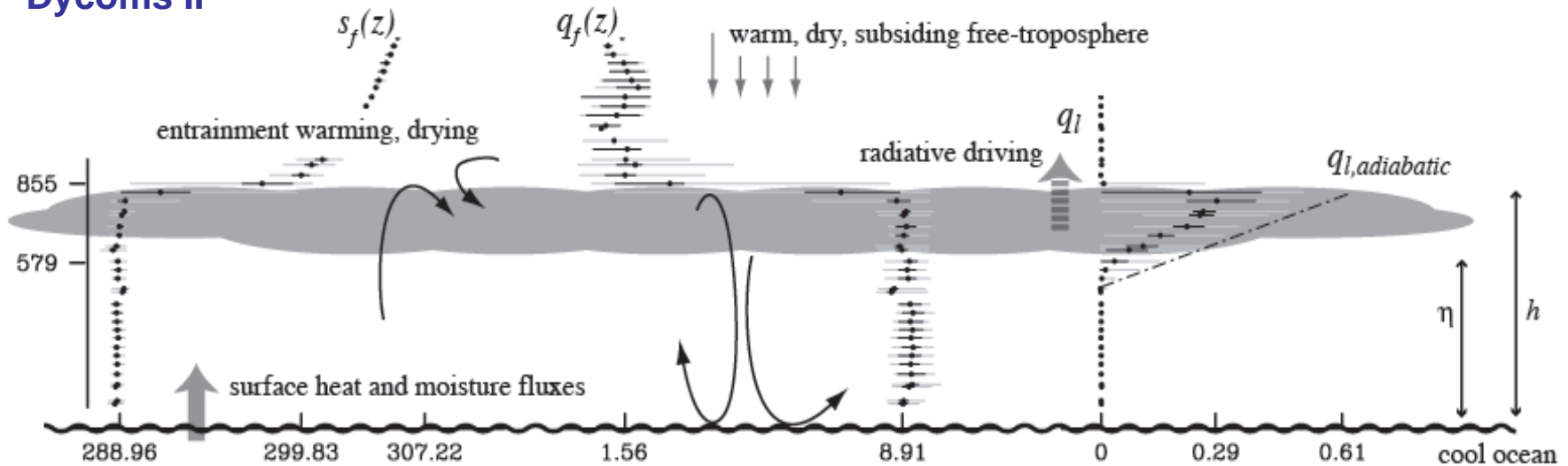
Parameterization issue reduced to finding the subgrid fluxes



# Stratocumulus : characteristics and used variables

Courtesy : Bjorn Stevens

## Dycoms II



**Convection is driven from the top and the bottom**

ECMWF-08

# Stratocumulus (2)

**A long history in GCCS.**

Experiment	Case	year
FIRE	Nocturnal Scu	1994
Idealized Smoke case		1995
ASTEX	Langrangian case	1995
ASTEX	Nocturnal	1996
FIRE	Diurnal cycle	2002
DYCOMSII	Nocturnal Scu	2003
DYCOMSII	Nocturnal Scu Precipitating	2005



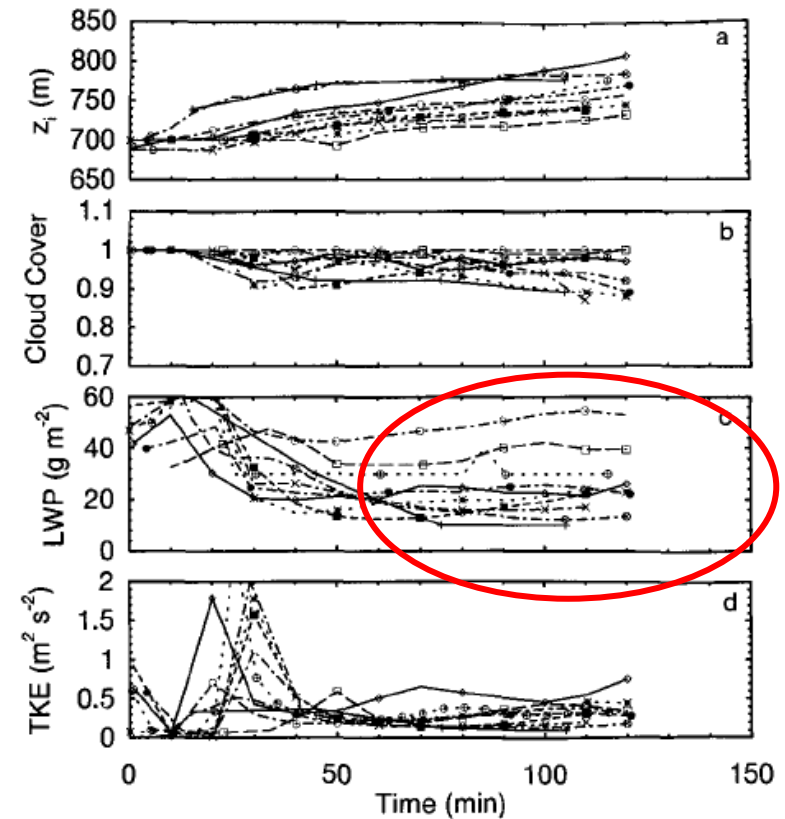
# Stratocumulus (3)

Experiment	Case	year
FIRE	Nocturnal Scu	1994
Idealized Smoke case		1995
ASTEX	Langrangian case	1995
ASTEX	Nocturnal	1996
FIRE	Diurnal cycle	2002
DYCOMSII	Nocturnal Scu	2003
DYCOMSII	Nocturnal Scu Precipitating	2005

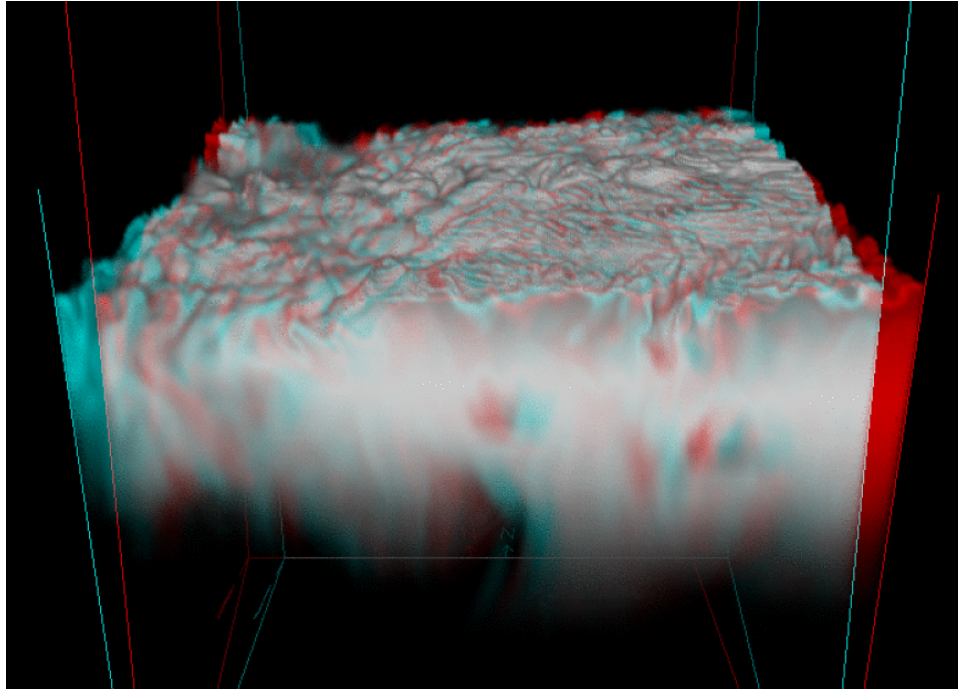
**Spread of LWP in LES too large to constrain SCM's and parameterizations due to :**

- **case not well constrained.**
- **Numerics and resolution of the LES models not good enough to deal with strong inversion.**

## LES Results (first case 1994)



# Stratocumulus (4)



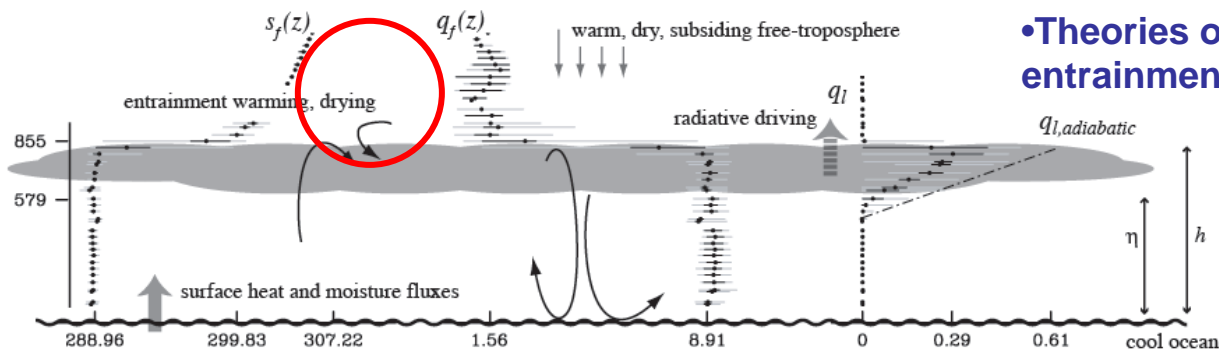
Courtesy: Steve Krueger

## Era of maturing (1995-2002):

- Better constraint cases
- Improved advection schemes for LES
- Higher Resolution.

## Making of the theory and Parameterizations:

- Identification of top-entrainment as a key process
- Theories and parameterizations of entrainment.
- Theories of decoupling of  $Scu./$  cloud-top entrainment instability (Randall 1980 )

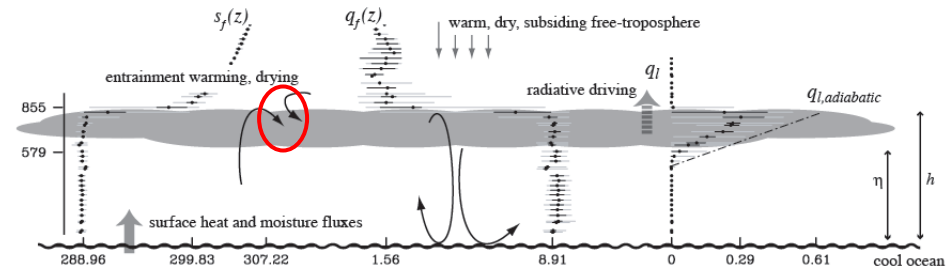


# Stratocumulus : Top-entrainment (1)

**Computation of the flux**  $\overline{w'\psi'} = -K_\psi \frac{\partial \overline{\psi}}{\partial z}$   
 $\psi \in \{q_t, \theta_l\}$

**Representation of entrainment rate  $w$ :**

$$\overline{w'\psi'_e} = w_e \Delta \psi \quad w_e \text{ from parametrization}$$



**Analogous to the dry PBL:**  $w_e = A \frac{w_* \theta_{v,*}}{\Delta \theta} \approx \frac{\text{"energetics"}}{\text{"jump"}}$

**In Scu many more parameters enter into the energetics:.**

- Surface moisture flux.
- Surface sensible heat flux.
- Condensation/evaporation processes.
- Long-wave radiative cooling.

## No lack of rules/parameterizations of the entrainment velocity

- Nicholls and Turton (1986)

$$w_e = \frac{2.5AW_{NE}}{\Delta\theta_{v,NT} + 2.5A(T_2\Delta\theta_{v,dry} + T_4\Delta\theta_{v,sat})}$$

- Lilly (2002)

$$w_e = \frac{A_{DL}W_{NE,DL}}{\Delta\theta_{v,DL} + A_{DL}(L_2\Delta\theta_{v,dry} + L_4\Delta\theta_{v,sat})}$$

- Stage and Businger (1981)  
Lewellen and Lewellen (1998)  
VanZanten et al. (1999)

$$w_e = \frac{AW_{NE}}{T_2\Delta\theta_{v,dry} + T_4\Delta\theta_{v,sat}}$$

- Lock (1998)

$$w_e = \frac{2A_{AL}W_{NE} + \alpha_t A_W \Delta F_L / (\rho c_p)}{\Delta\theta_v}$$

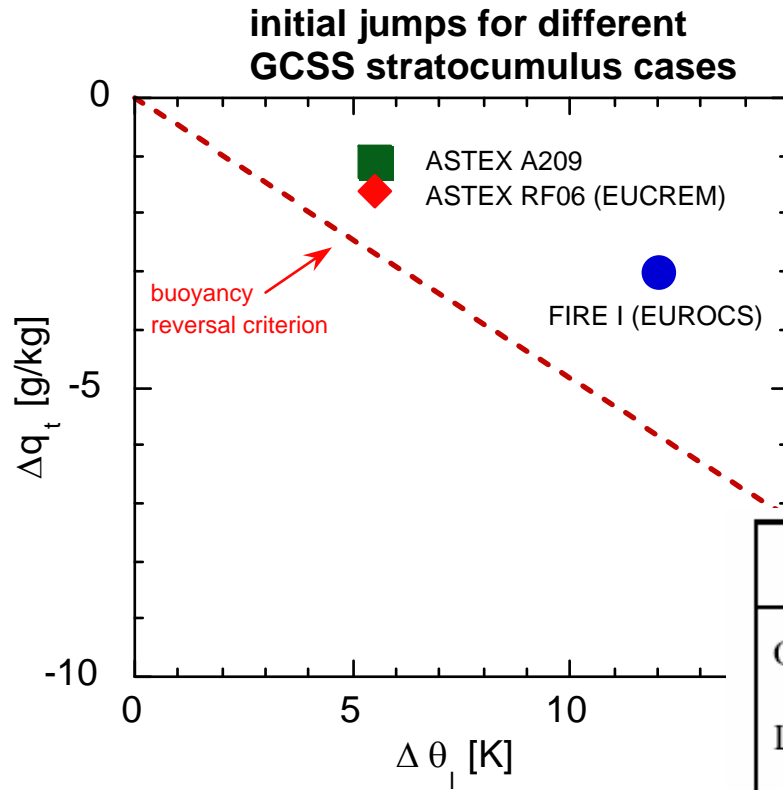
- Moeng (2000)

$$w_e = \frac{A_M \overline{w'\theta_1'} + \Delta F_L (3 - e^{-\sqrt{b_m L}}) / (\rho c_p)}{\Delta\theta_1}$$

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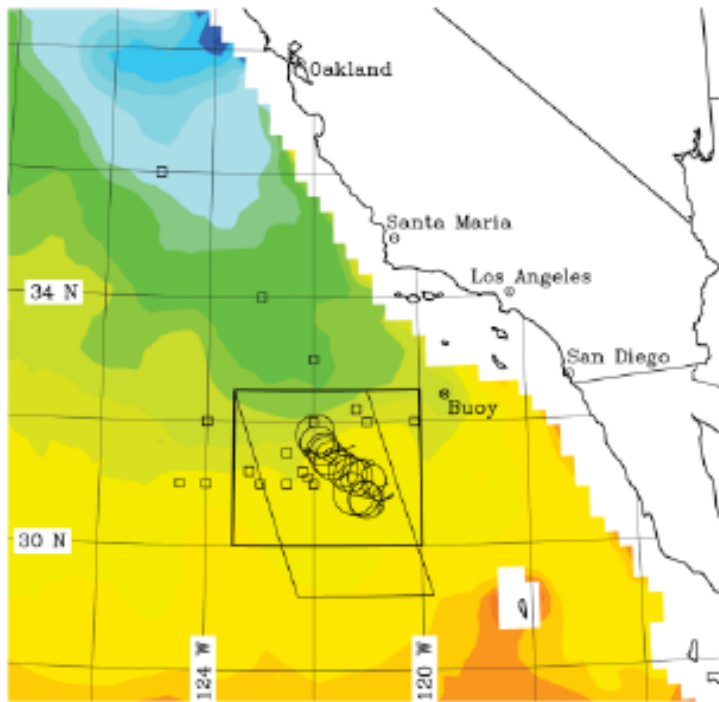
# Stratocumulus : Entrainment velocities: Observations vs Parameterizations



Entrainment velocities (cm/s) of 3 GCSS Cases

	FIRE	D1	ASTEX A209	ASTEX RF06
Observed	-	0.5	1.1±0.5	1.2 ±1
LES	0.58 ± 0.08	0.5	1.2 ± 0.3	1.9 ± 0.1
NT	0.38	0.7	1.21	1.86
Lock	0.19	0.5	0.85	1.13
SB	0.38	0.8	0.76	1.18
Moeng	0.57	0.6	1.35	1.53
Lilly	0.37	0.7	0.99	1.42

Uncertainty in entrainment rate has inspired the GCSS-community to design a special dedicated field experiment to narrow down the uncertainty of this key process



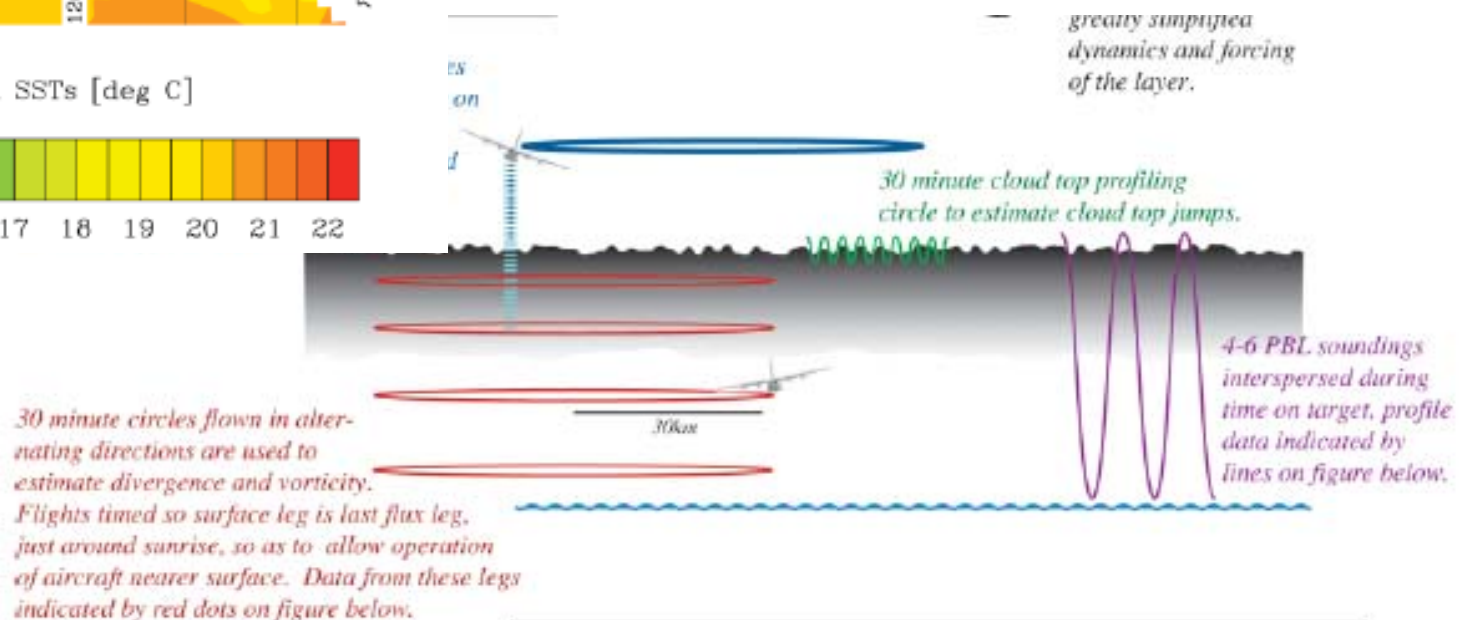
TMI Derived SSTs [deg C]



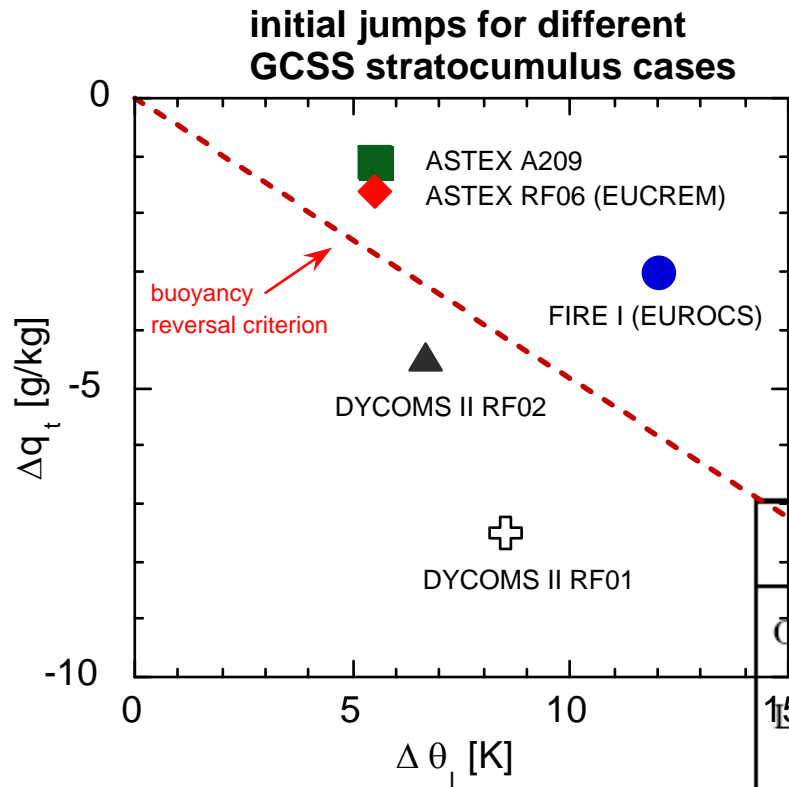
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## DYCOMS II

B. Stevens et al. BAMS 84 (2003)



# Incorporating DYCOMS results: narrowing down parametrizations!



Entrainment results (cm/s) of 4 GCSS Cases

	FIRE	DYCOMS II RF02	ASTEX A209	ASTEX RF06
Observed	-	0	$1 \pm 0.5$	$1.2 \pm 1$
LES	$0.58 \pm 0.08$	0	$2 \pm 0.3$	$1.9 \pm 0.1$
NT	0.38	0	21	1.86
Lock	0.19	0	85	1.13
SB	0.38	0	76	1.18
Moeng	0.57	0	35	1.53
Lilly	0.37	0	99	1.42

# Did it made a difference?

**Yes**, especially for those operational centres that actively participated in this process: i.e. ECMWF, Met. Office, Meteo France.

**Example:**

**ECMWF: cloud fraction climatology**

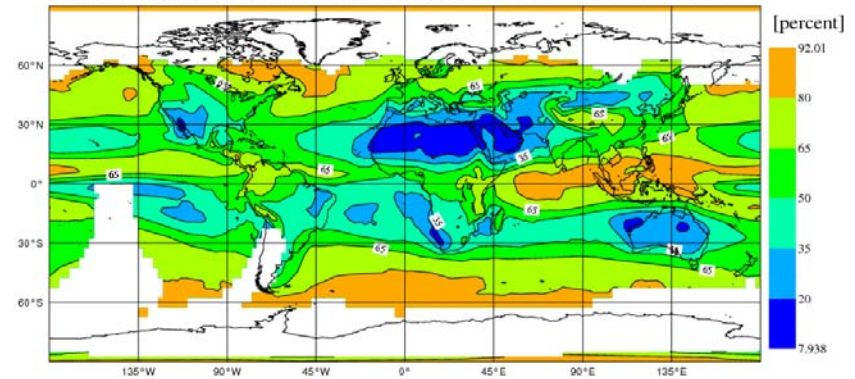
**2002: underestimation of Sc<sub>u</sub>**

**(general GCM-problem)**

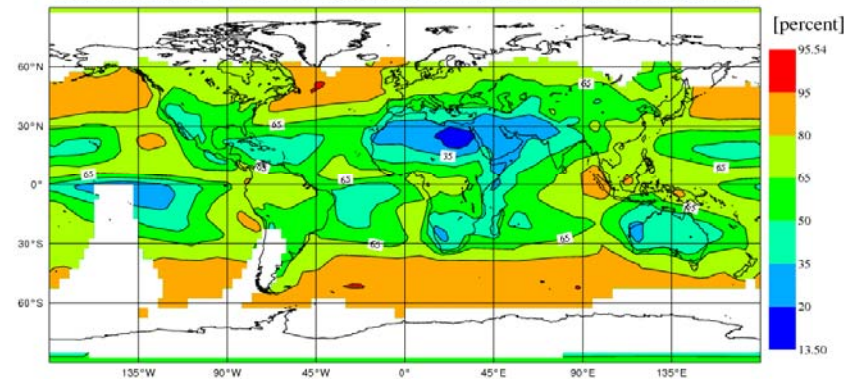
**model - obs** ←

**Courtesy: Martin Kohler**

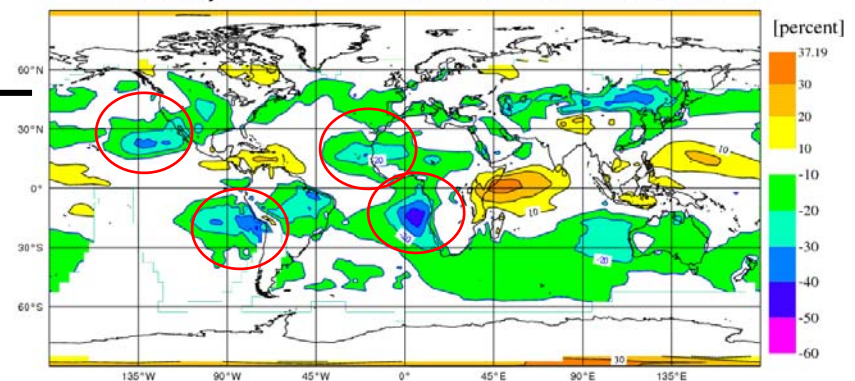
Total Cloud Cover ej3z September 2000 nmonth=12 nens=3 Global Mean: 57.9 50N-S Mean: 55.6



Total Cloud Cover ISCCP D2 September 2000 nmonth=12 50N-S Mean: 62.2



Difference ej3z - ISCCP 50N-S Mean err -6.6 50N-S rms 13.1





# Did it made a difference?

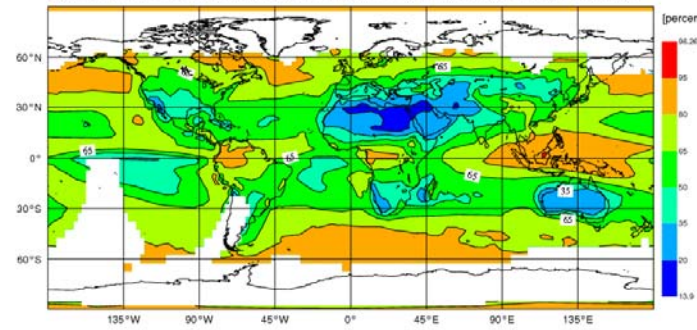
**Yes**, especially for those operational centres that actively participated in this process: i.e. ECMWF, UK Met. Office, Meteo France, NCAR

**Example:**

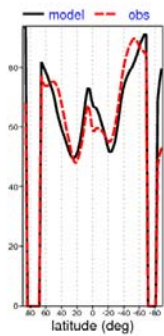
**ECMWF: cloud fraction climatology 2007: Scu underestimation problem resolved.**

**model - obs** ←

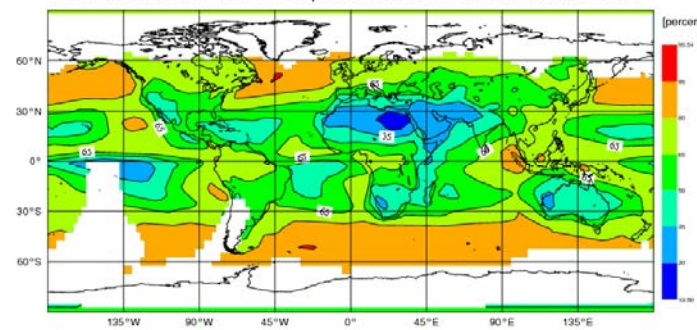
Total Cloud Cover exw9 Sep 2000 nmon=12 nens=4 Global Mean: 63.4 50N-S Mean: 61.5



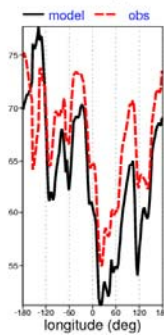
Zonal Mean



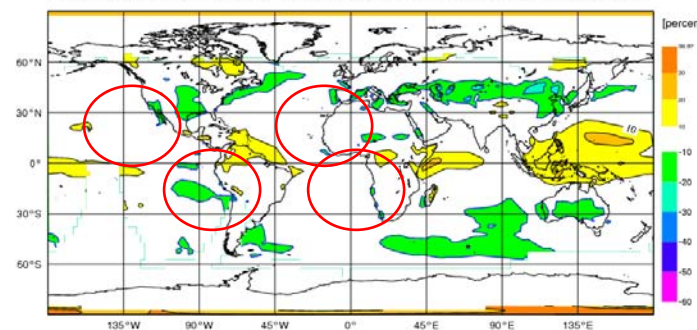
Total Cloud Cover ISCCP Sep 2000 nmon=12 50N-S Mean: 62.2



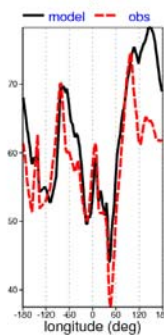
Extra-Tropics



Difference exw9 - ISCCP 50N-S Mean err -0.725 50N-S rms 8.34



Tropics



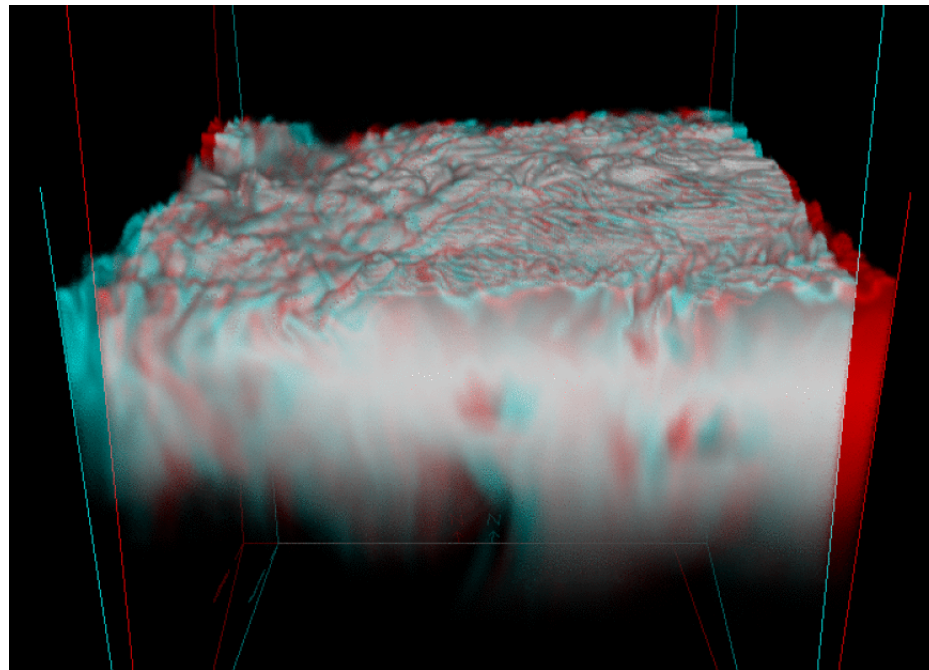
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ECMWF

Courtesy: Martin Kohler

## Lessons to be learned!!

- use observations and models to identify the weak spots (top-entrainment)
- advance theories to improve representation (entrainment closures)
- design critical field experiments (DYCOMS)
- **Implement the findings in Large-scale models** (ECMWF)
- **Critically evaluate the result on a global scale** (ISSCP,CERES,SSMI)



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LES results

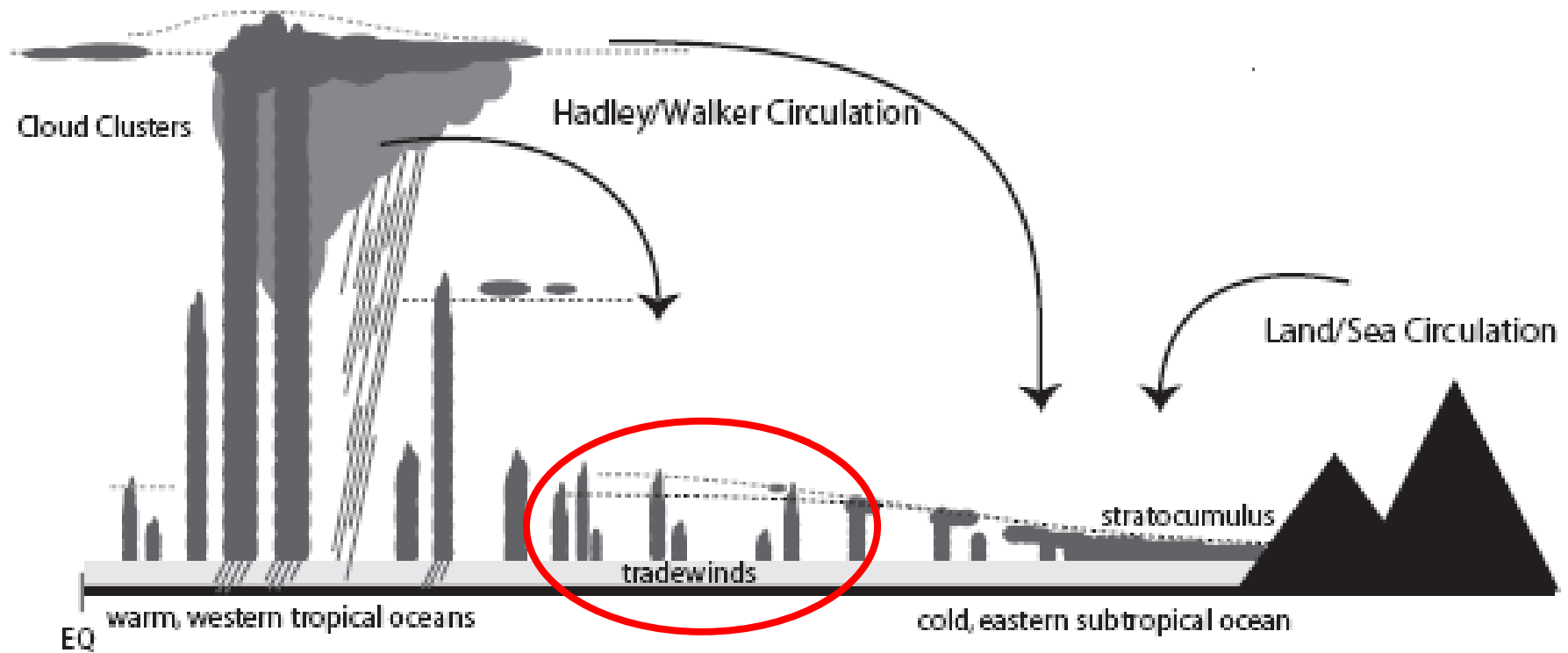
S. Krueger, Univ of Utah



## Conclusions (stratocumulus)

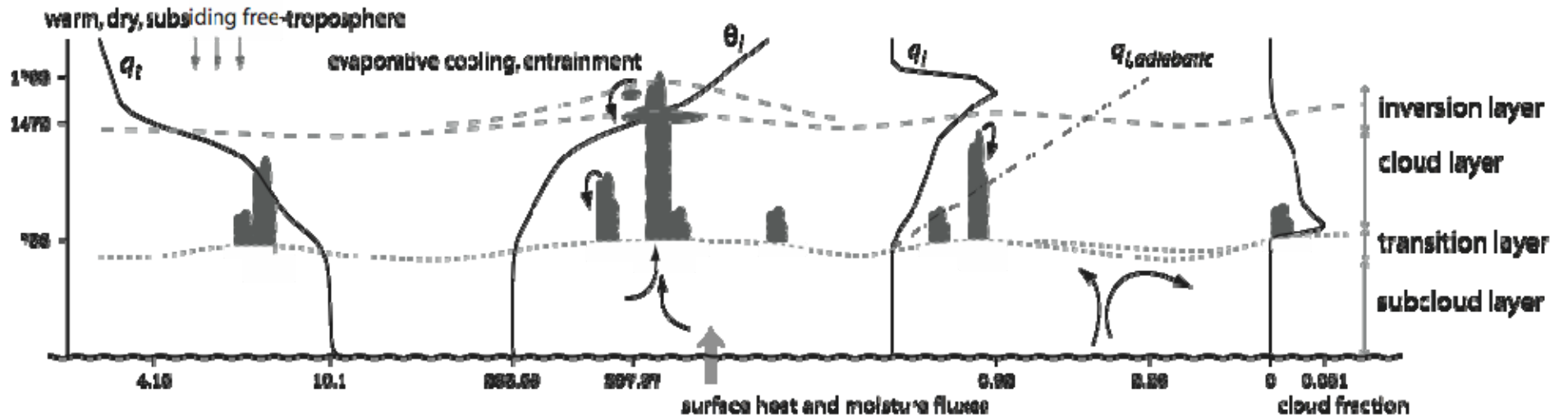
- Mixing in Scv should be done in moist conserved variables
- Key problems : Regime changes : Break up of Scv / decoupling
- For higher(vertical) resolution ( $dz \sim 100m$ ), TKE-schemes **without** explicit top-entrainment seem to be an acceptable alternative for parameterizations with explicit top-entrainment parameterizations.

# Key Cloud-types that have been studied in GCCS



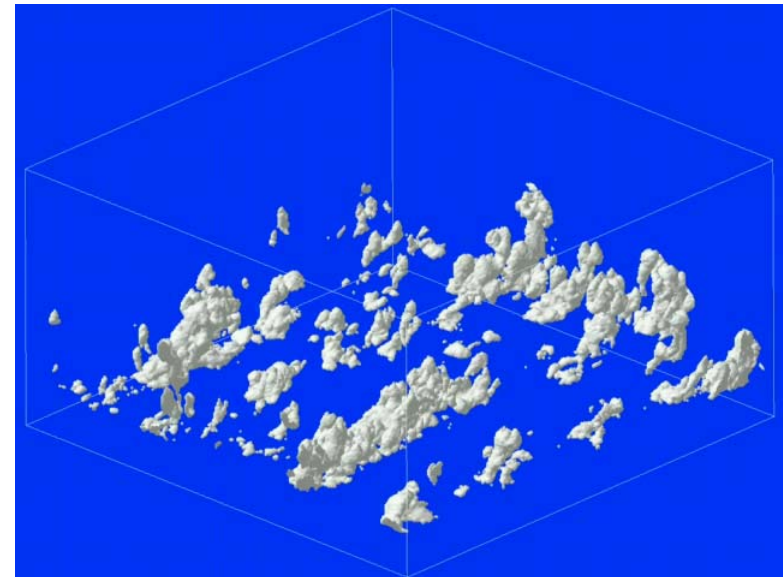
# Shallow Cumulus: Characteristics

Courtesy Bjorn Stevens

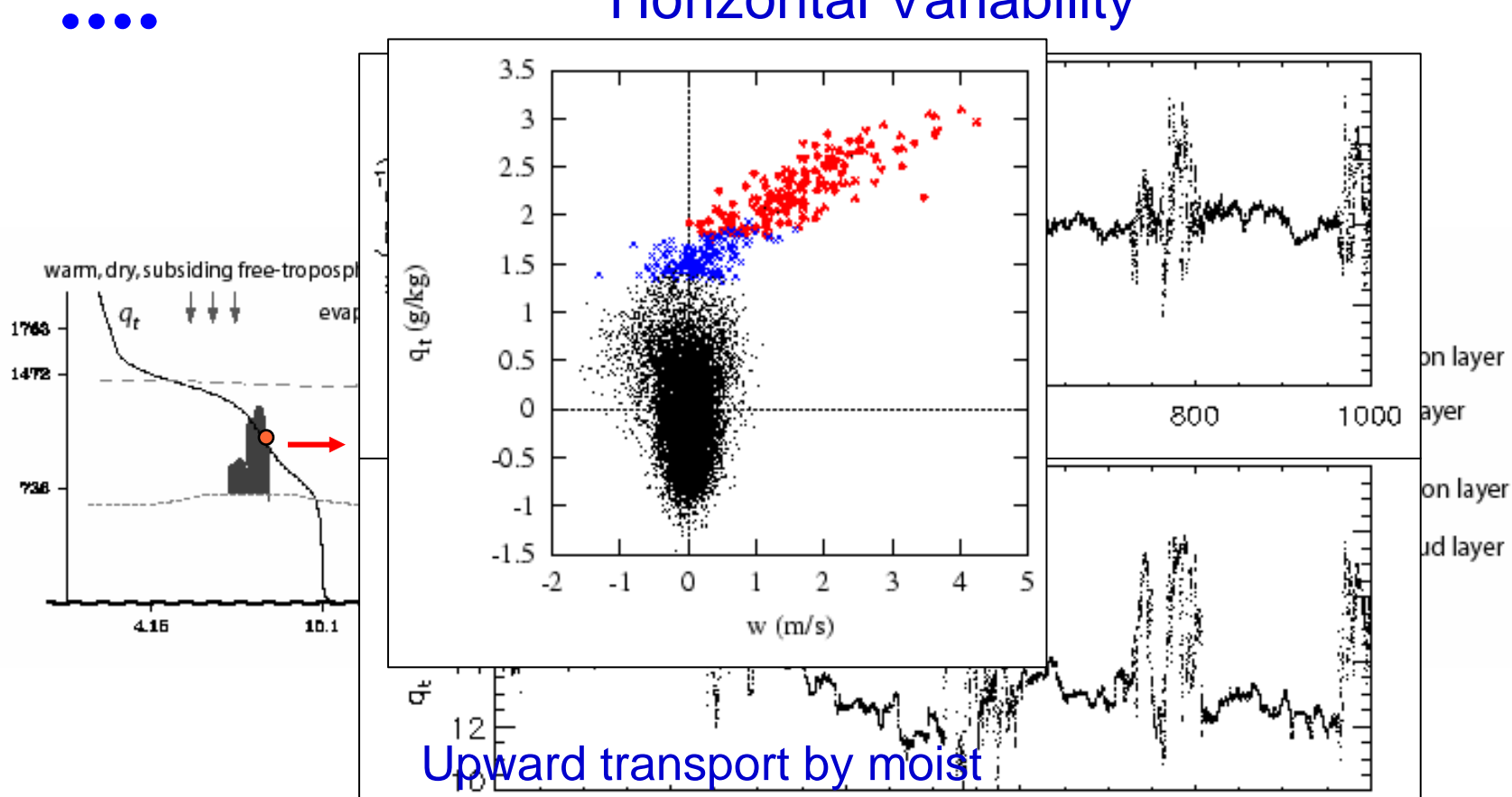


CMWF-08

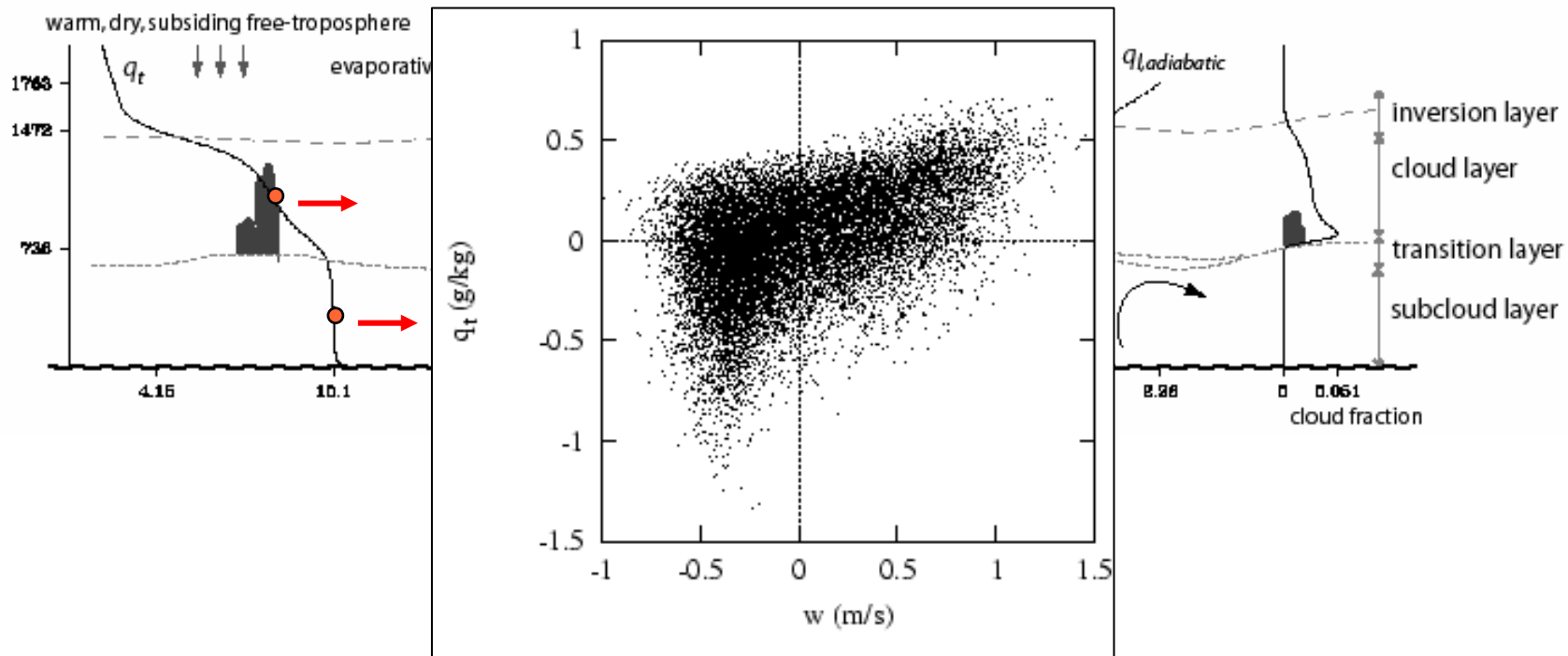
LES  
Heus  
TU Delft



# Horizontal Variability



Upward transport by moist  
buoyant cumulus cores

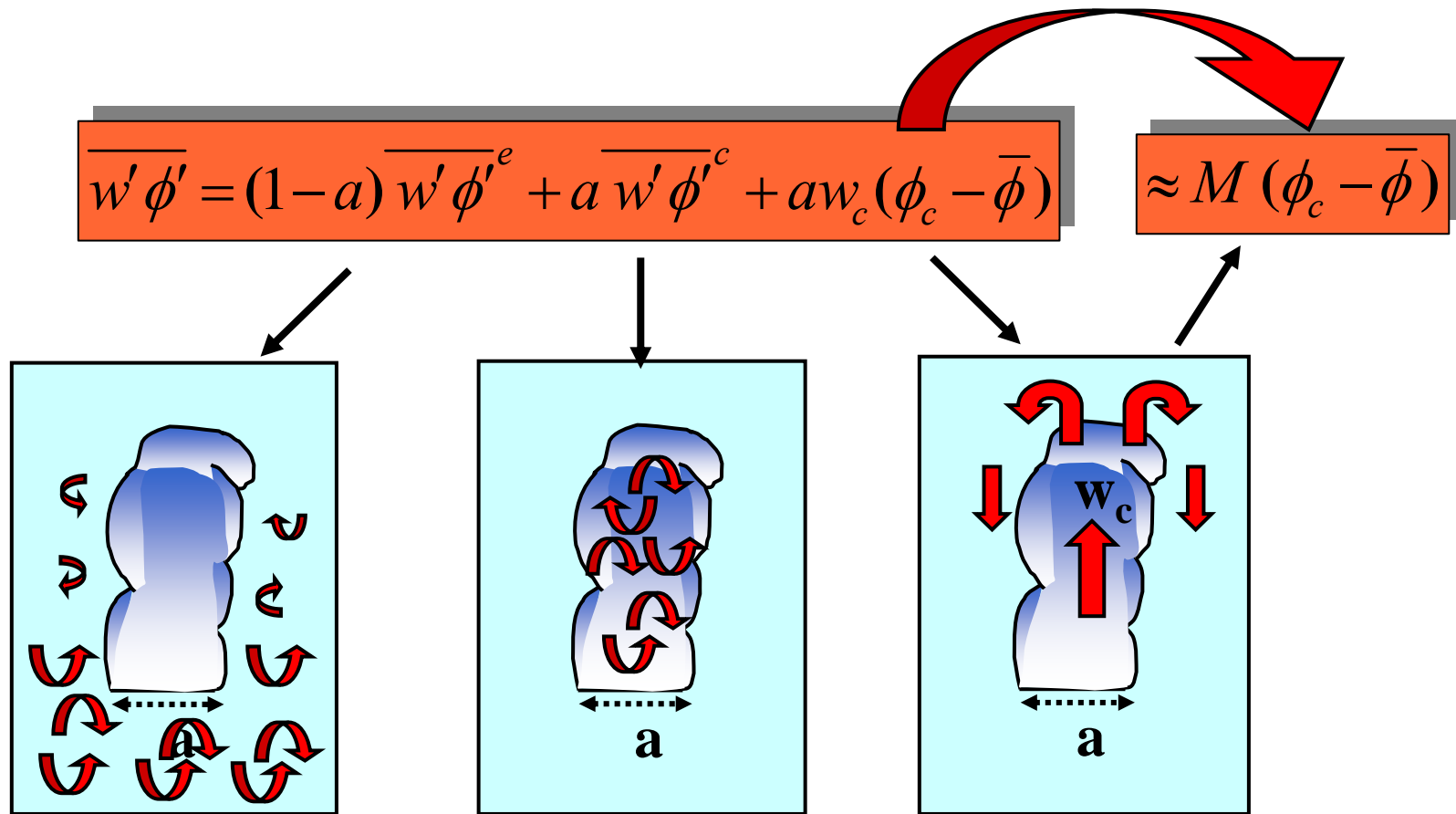


less distinct updrafts in subcloud layer



Strong bimodal character of joint pdf has inspired the design of mass flux parameterizations of turbulent flux in Large scale models

(Betts 1973, Arakawa& Schubert 1974, Tiedtke 1988)





# How to estimate updraft fields and mass flux?

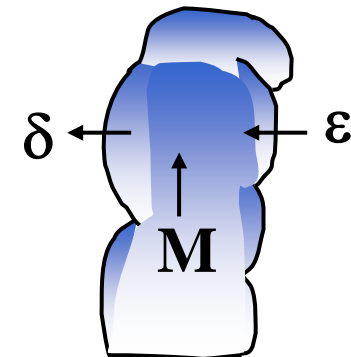
The old working horse:



Betts	1974 JAS
Arakawa&Schubert	1974 JAS
Tiedtke	1988 MWR
Gregory & Rowntree	1990 MWR
Kain & Fritsch	1990 JAS
And many more.....	

Entraining plume model:

$$\frac{\partial \phi_c}{\partial z} = -\varepsilon(\phi_c - \bar{\phi}) \text{ for } \phi \in \{\theta_1, q_t\}$$
$$\frac{1}{M} \frac{\partial M}{\partial z} = \varepsilon - \delta$$
$$\frac{1}{2} \frac{\partial w_c^2}{\partial z} = -b\varepsilon w_c^2 + aB, \quad B = \frac{g}{\theta_0} (\theta_v - \bar{\theta}_v)$$



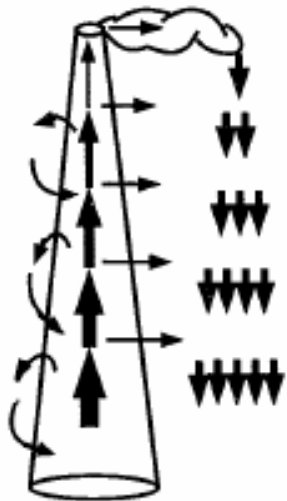
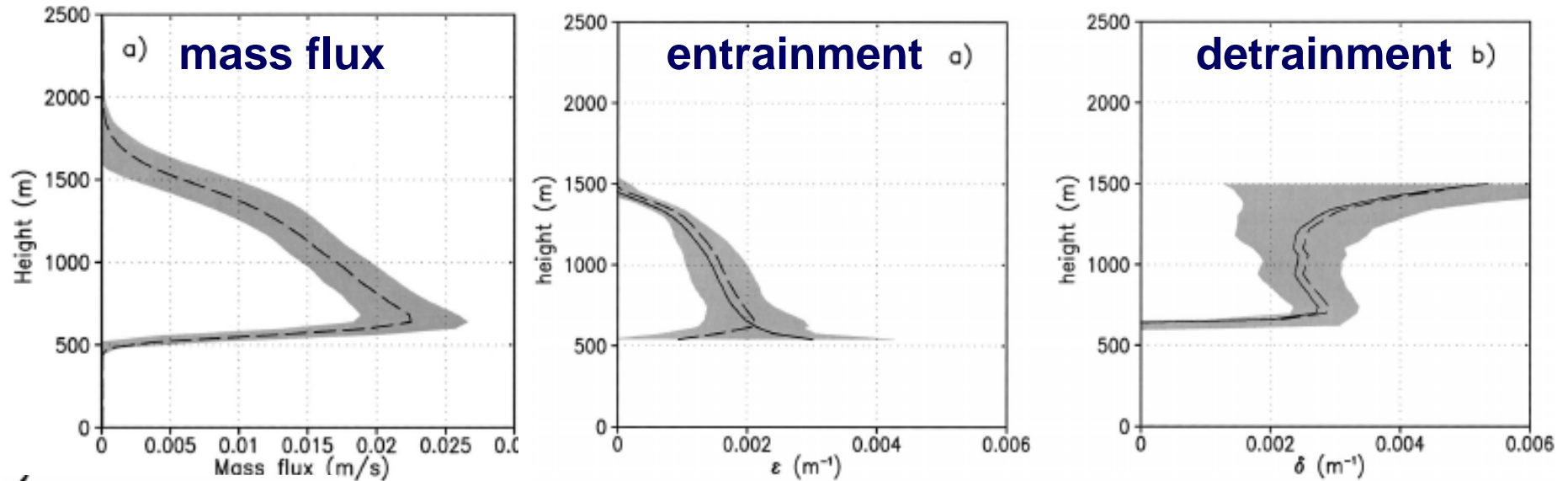
Plus boundary conditions  
at cloud base.



## GCSS cases

Experiment	Case	year
BOMEX	Steady state Trade wind cu	1997
ATEX	Trade wind cu topped with Scu	1998
ARM (June 1997)	Diurnal Cycle Cumulus	2000
RICO	Precipitating trade wind cu	2006

## Typical LES results from GCSS intercomparison studies



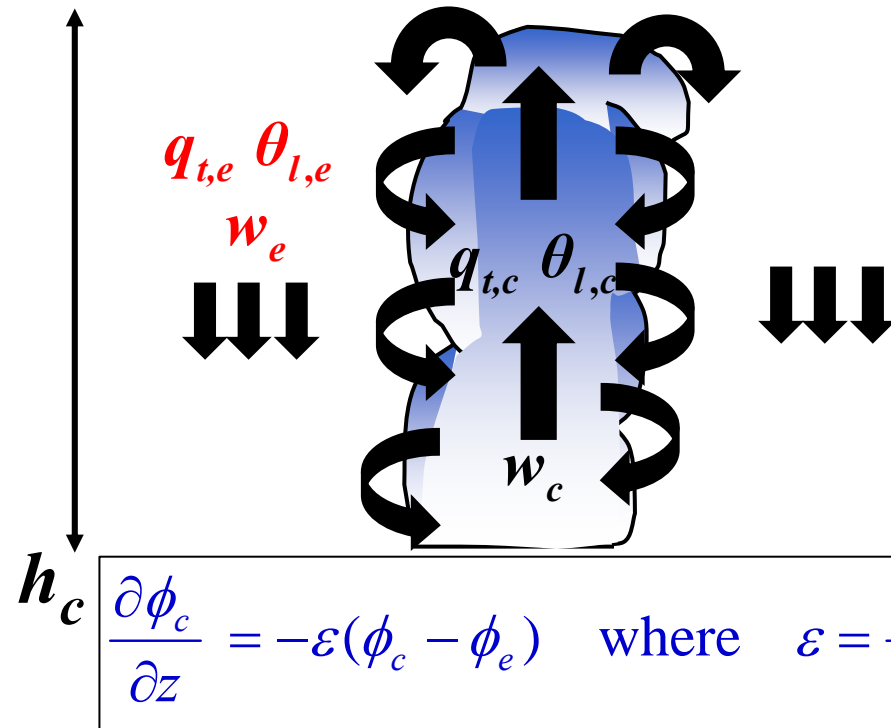
### Main Results:

1. Lateral entrainment and detrainment rates typically of the order of  $10^{-3} m^{-1}$
2. Detrainment rates typically larger than entrainment rates or
3. Mass flux decreases with height

ECMWF-08

Siebesma and Cuypers JAS 95  
Siebesma 1998  
Grant and Brown QJRMS 1999  
Gregory QJRMS 2000  
Neggers et al JAS 2002

# Led to simple conceptual models for entrainment rates



for  $\phi \in \{\theta_l, q_t\}$ :

$$\frac{d\phi_c}{dt} = F_{mixing}$$

$$w_c \frac{\partial \phi_c}{\partial z} = -\frac{(\phi_c - \phi_e)}{\tau}$$

$$\frac{\partial \phi_c}{\partial z} = -\varepsilon(\phi_c - \phi_e) \quad \text{where} \quad \varepsilon = \frac{1}{w_c \tau} \approx \frac{1}{h_c}$$

Siebesma 1997

Bretherton and Grenier JAS 2003

Shallow convection:  $h_c \sim 1000\text{m}$

$\varepsilon \sim 10^{-3} \text{ m}^{-1} !!$

Alternative:

$$\varepsilon \approx \frac{1}{w_c \tau_0} \propto \frac{1}{W_c}$$

Neggers et al 2001 JAS

Cheinet 2003 JAS

## Shallow Cumulus: Lateral Detrainment Rates

- Detrainment has received less attention than entrainment.
- Varies much more from case to case so is probably more important to parameterize mass flux correctly

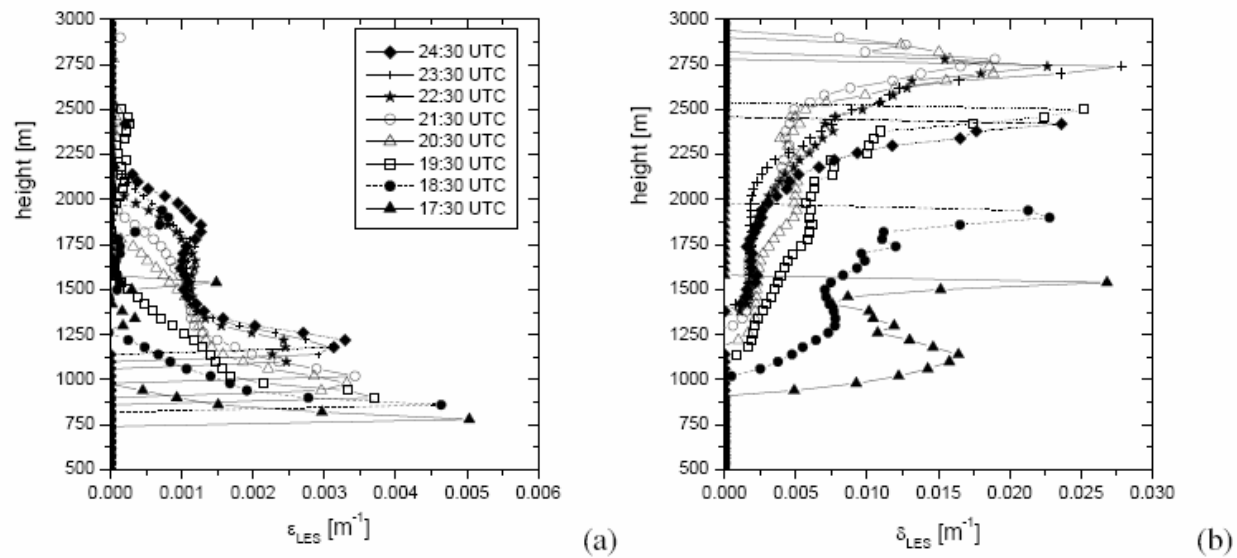
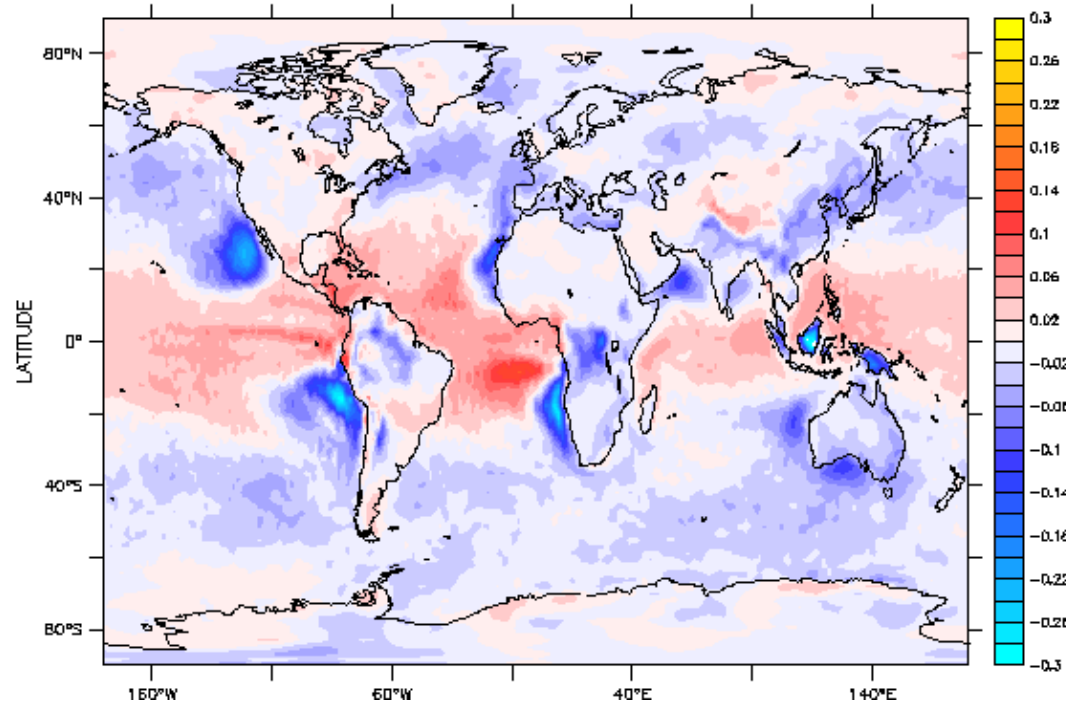


FIGURE 3: Hourly averaged fractional entrainment (a) and detrainment (b) rates diagnosed from LES results for the ARM case. Note the different x-axis scale for (a) and (b).

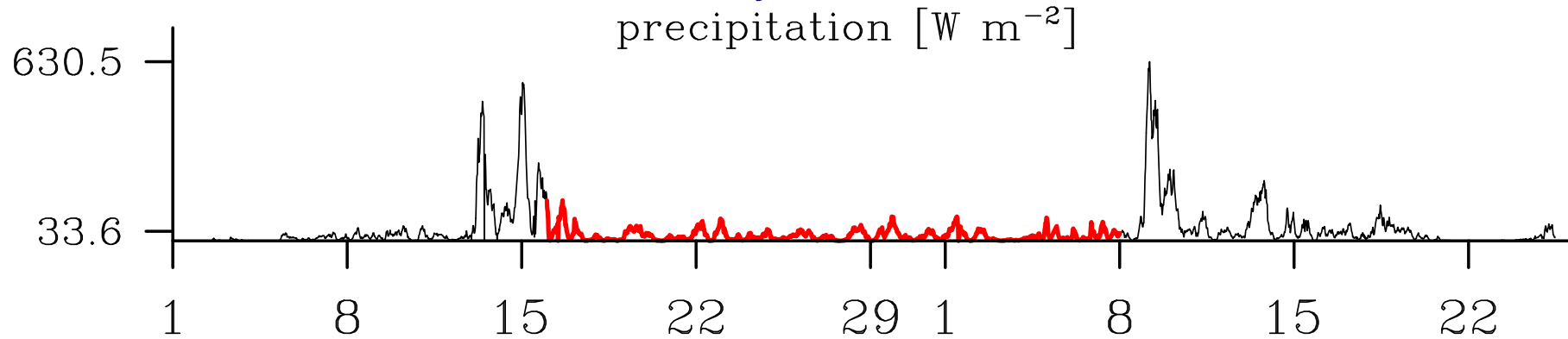
## Sensitivities in ECMWF

Change in cloudcover when setting the entrainment rate of the updraft in the subcloud layer to zero:

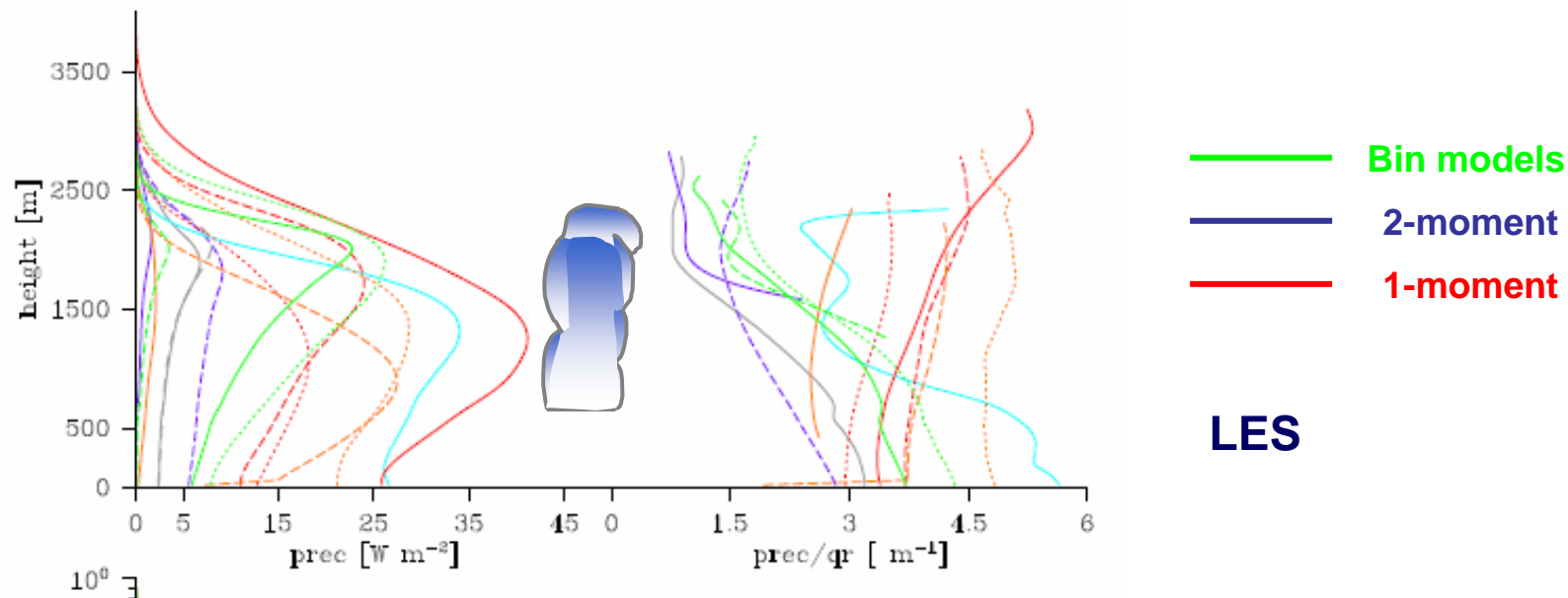


# Intercomparison case based on precipitating cumulus observed during field campaign RICO:

•Period: 2004 December 16 – 2005 January

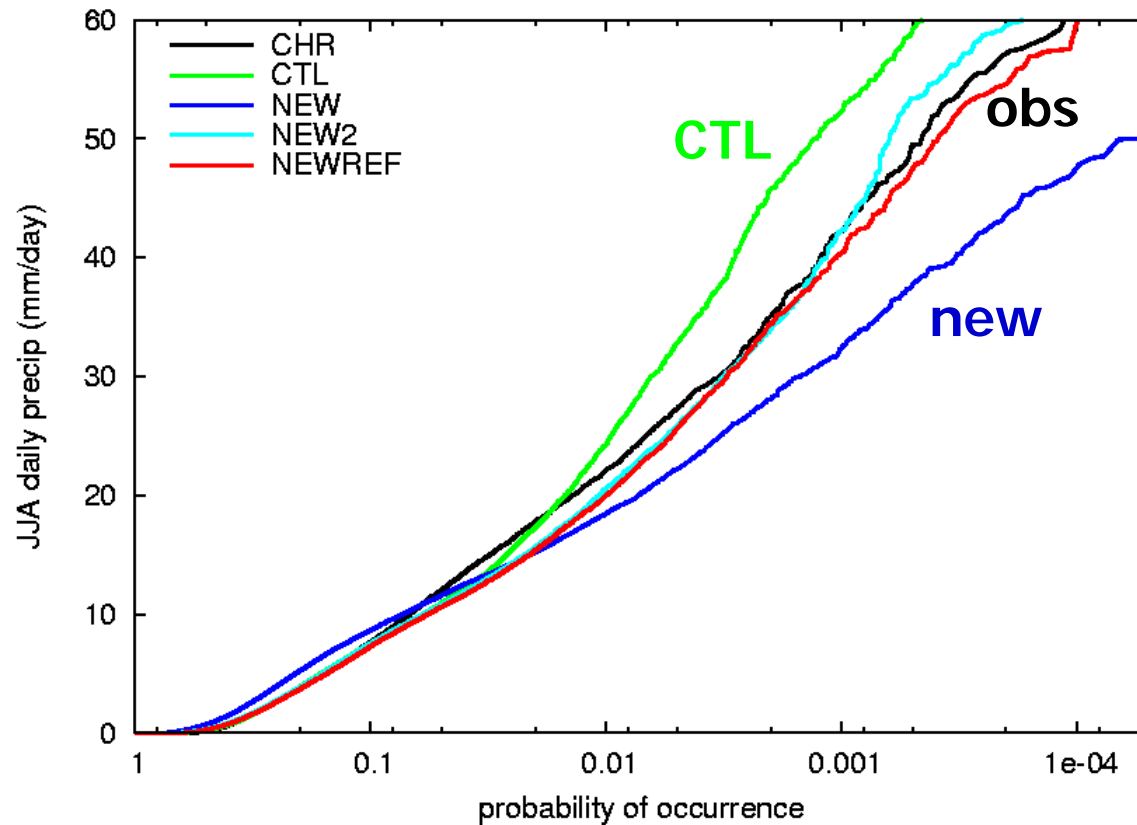


Observed precipitation rate during suppressed period :  $\sim 20 \text{W/m}^2 = 0.6 \text{ mm/day}$



# Does precipitation from these shallow clouds matter?

Precipitation Histogram of JJA for 1991-1995  
for the Rhine catchment area with a regional climate model  
(RACMO) (25km resolution)



Ctl (23r4) :

- Too few low precipitation rate events.
- Too many high precipitation rate events

Ctl (31r1) :

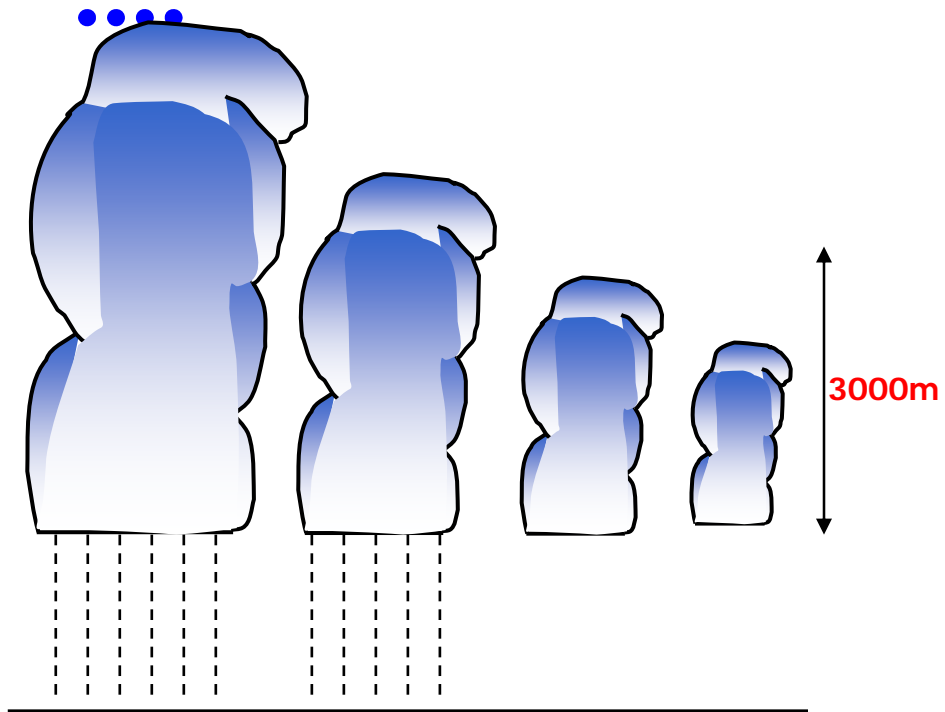
- Too many low precipitation rate events.
- Too few high precipitation rate events
- Lower extreme events!!

2-9-2008

ECMWF-08

**Howcome?**





**Control (23r4) :**

**clouds shallower than 3000m are not allowed to precipitate:**

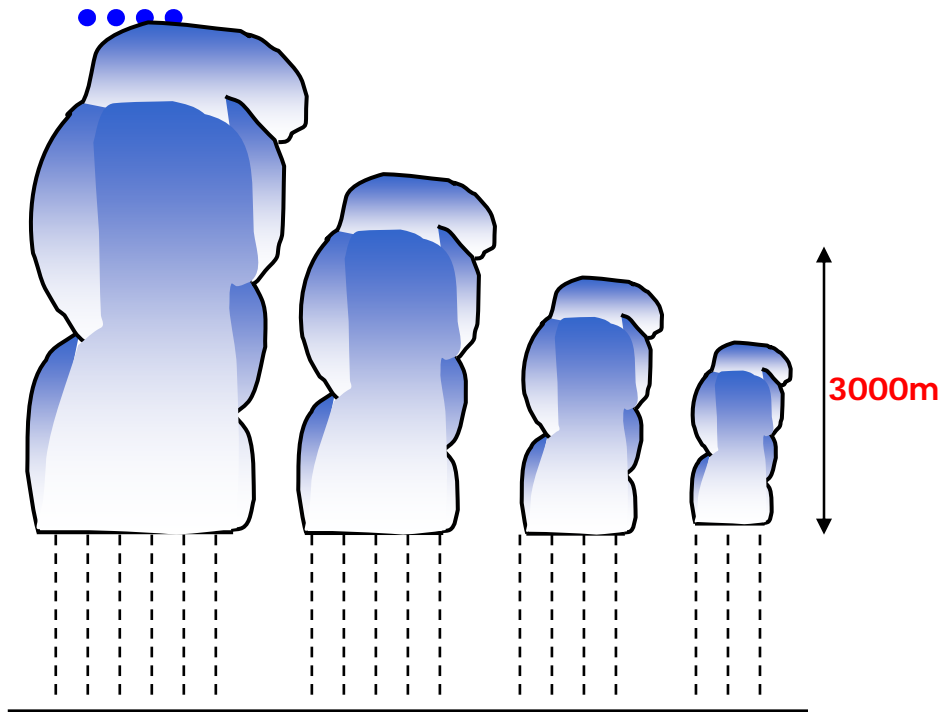
- Obviously reduces the “moderate rain intensity events”
- Allows more extreme rain events to build up.



2-9-2008

**As opposed to.....**

ECMWF-J0



New (25r4) :

In which all clouds are allowed to precipitate (if enough  $q_l$ ):

- Obviously encourages the “moderate rain intensity events”
- Prohibits more extreme rain events to build up.

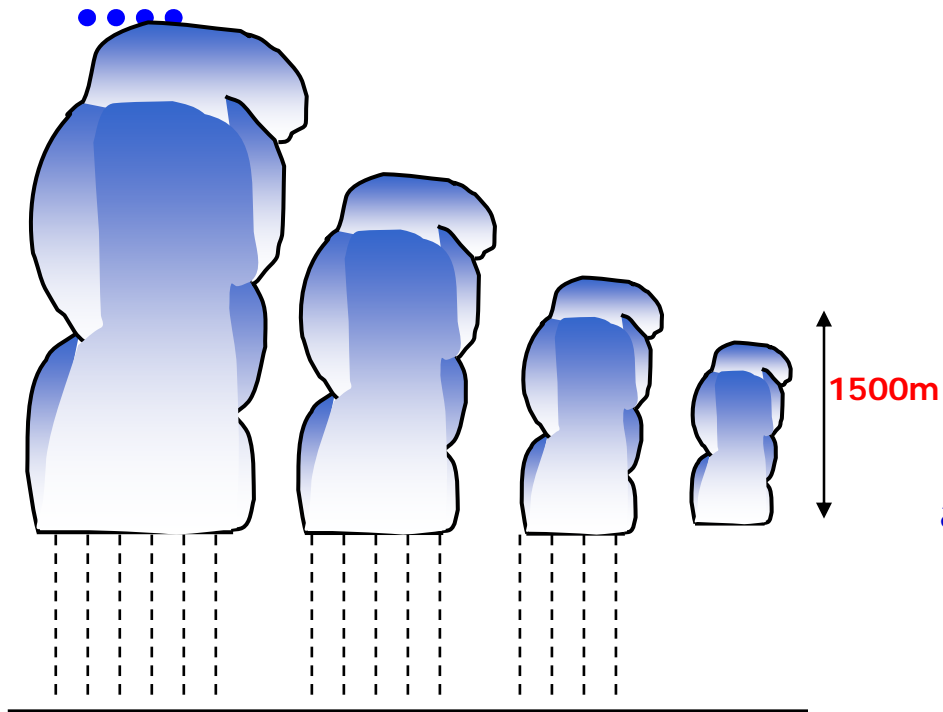


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So as a (temporary) fix:

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.....One can prohibit clouds of 1500m to precipitate

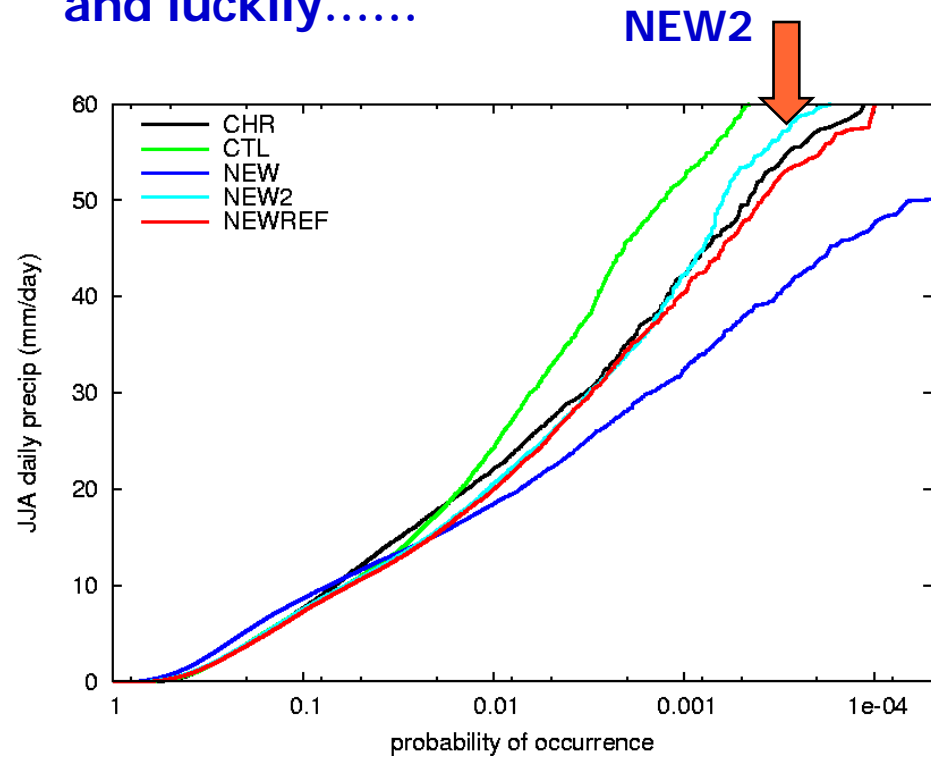


• This merely shows the sensitivity of the overall precipitation statistics to the precipitation efficiency of shallow clouds!!

••••

2-9-2008

and luckily.....

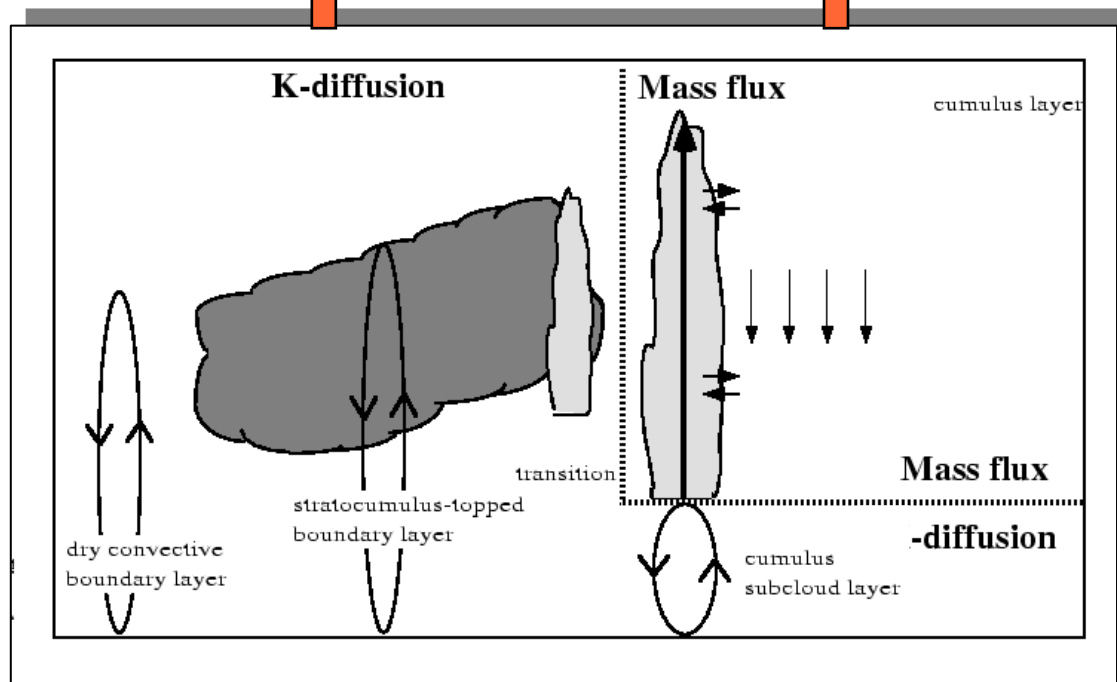


# Standard (schizophrenic) parameterization approach:

$$\overline{w' \phi'} \cong -K \frac{\partial \bar{\phi}}{\partial z}$$

$$\overline{w' \phi'} \cong M (\phi_u - \bar{\phi})$$

$$\frac{\partial \bar{\phi}}{\partial t} \cong -\frac{\partial}{\partial z} (\overline{w' \phi'}) + \bar{S}$$



**This unwanted situation can lead to:**

- Double counting of processes
- Inconsistencies
- Problems with transitions between different regimes:

dry pbl → shallow cu

scu → shallow cu

shallow cu → deep cu

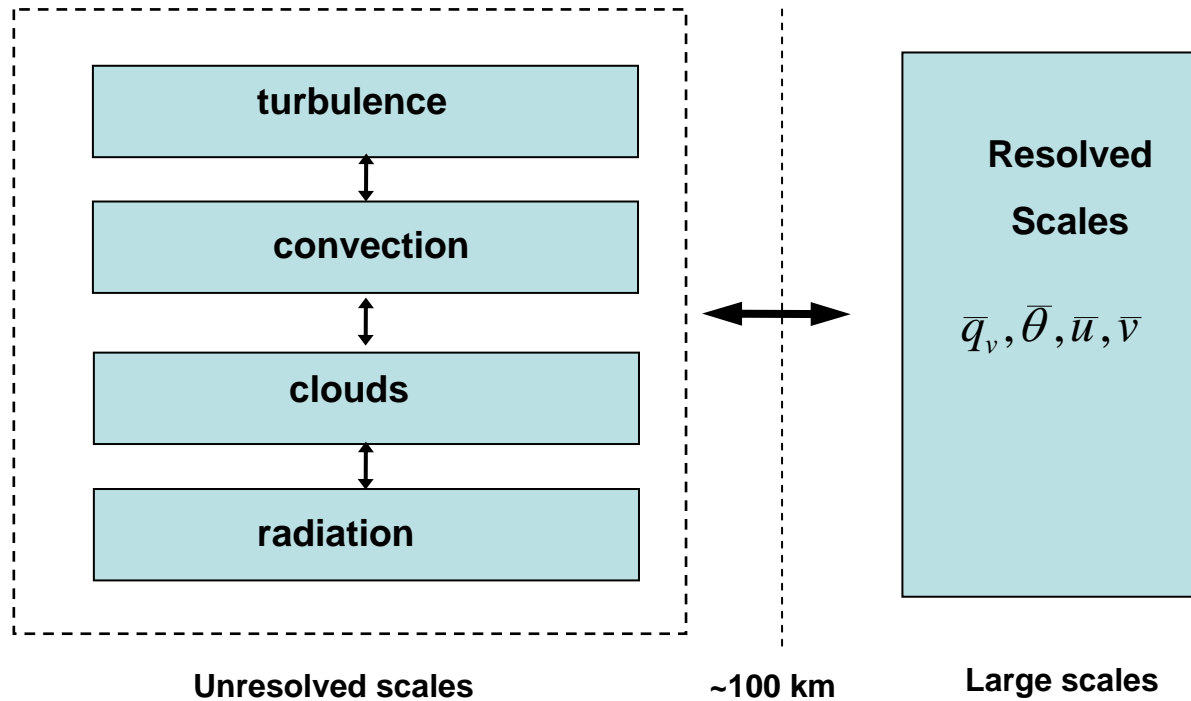


The "parameterization dish" looks perhaps a bit messy.



9/2/2008

## Intermezzo (2)



**Increase consistency between the parameterizations!**

**How?**



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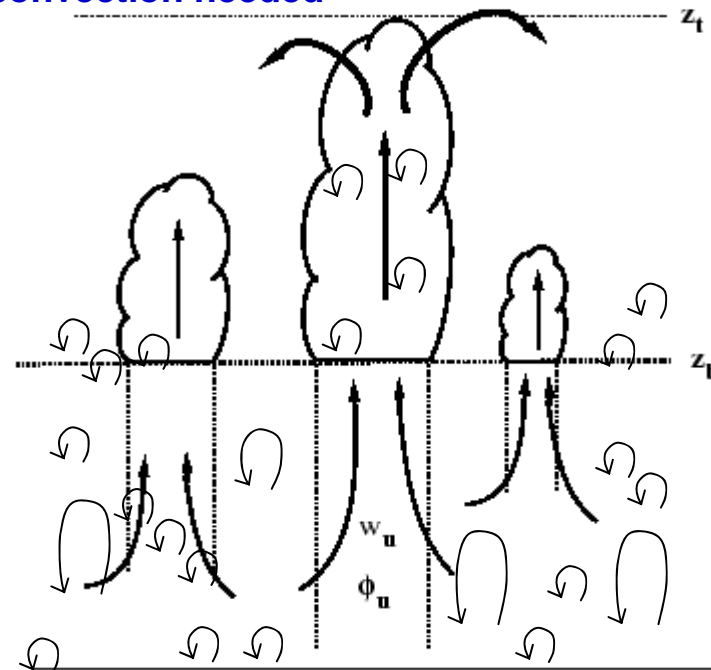
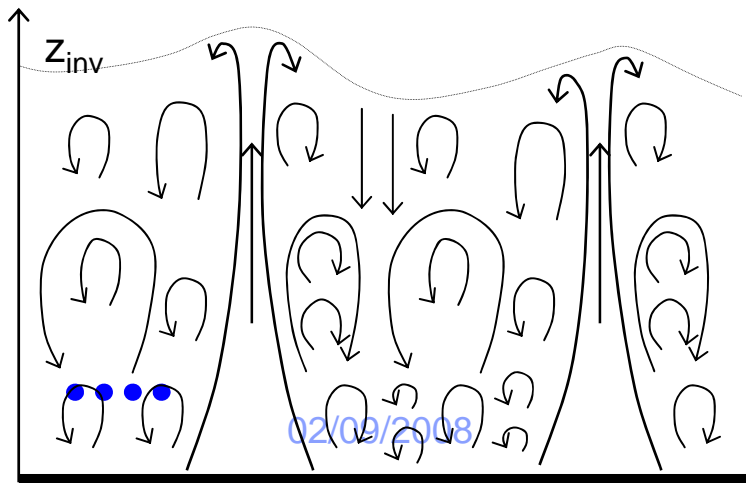
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## Eddy-Diffusivity/Mass Flux approach : a way out?

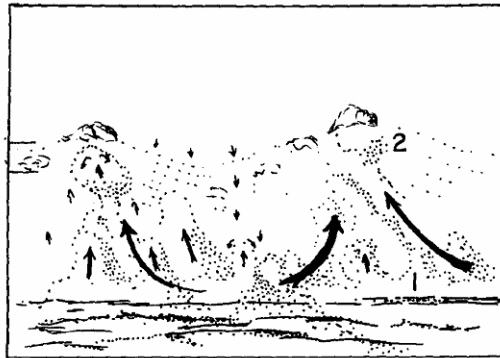
- Nonlocal (Skewed) transport through strong updrafts in clear and cloudy boundary layer by advective **Mass Flux (MF)** approach.
- Remaining (Gaussian) transport done by an **Eddy Diffusivity (ED)** approach.

### Advantages :

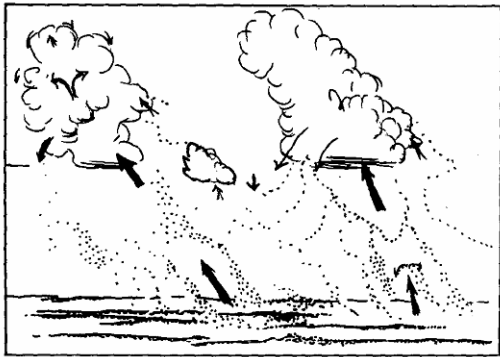
- One updraft model for : dry convective BL, subcloud layer, cloud layer.
- No trigger function for moist convection needed
- No switching required between moist and dry convection needed



LeMone & Pennell (1976, MWR)



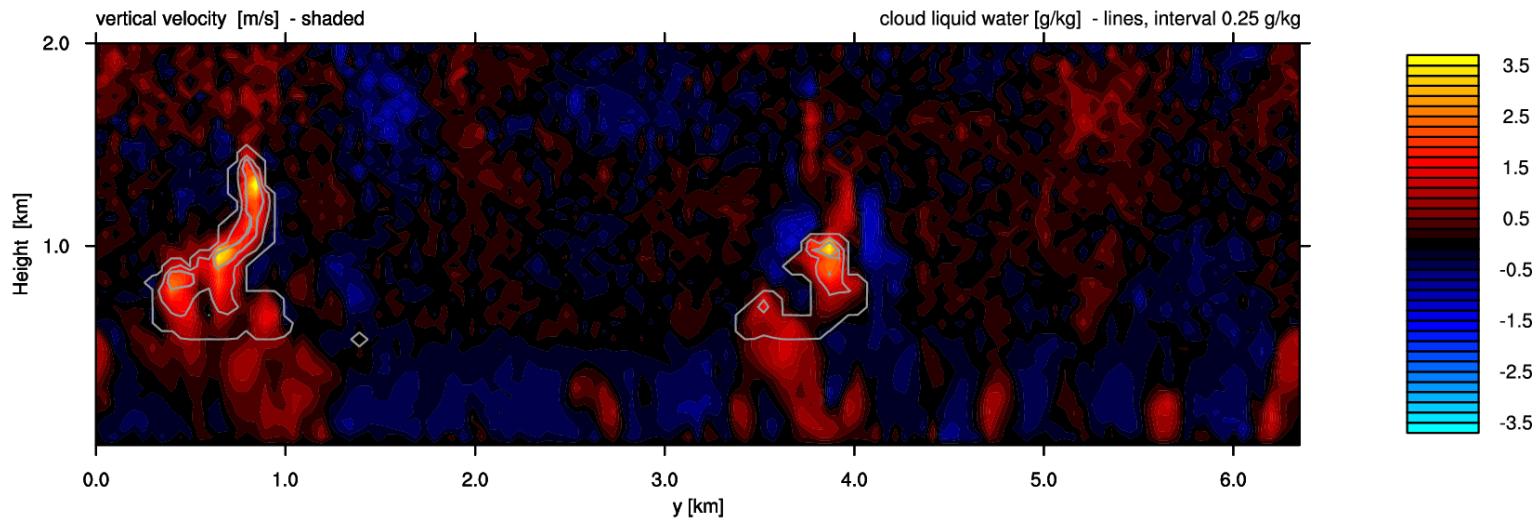
(a)



(b)

**Cumulus clouds are the condensed, visible parts of updrafts that are deeply rooted in the subcloud mixed layer (ML)**

LES BOMEX vertical cross-section





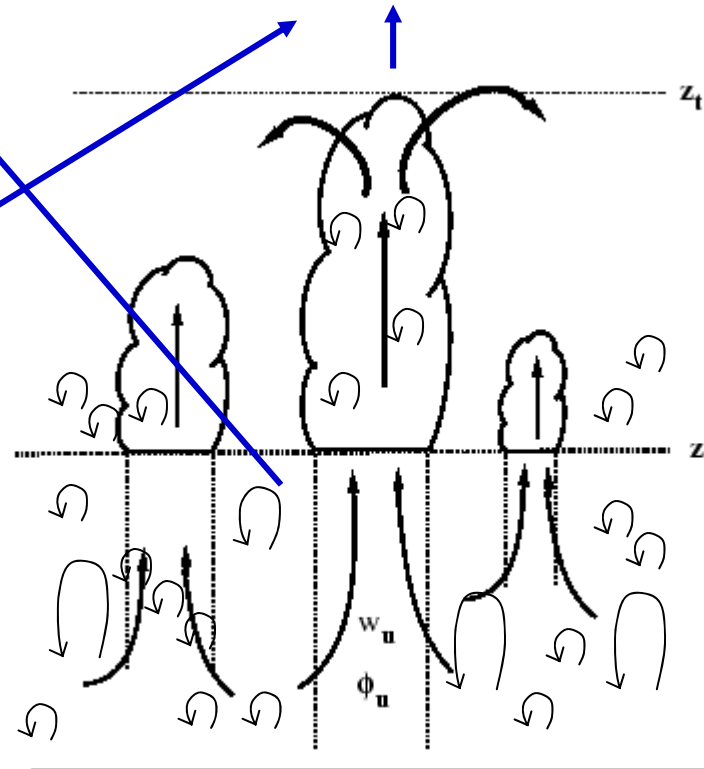
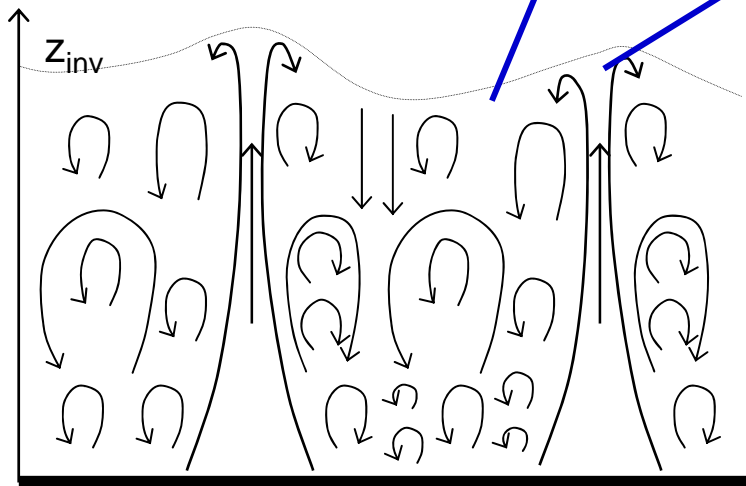
# The (simplest) Mathematical Framework :



••••

$$\overline{w' \phi'} = a_u \overline{w' \phi'}^u + (1 - a_u) \overline{w' \phi'}^e + a_u w_u (\phi_u - \bar{\phi})$$

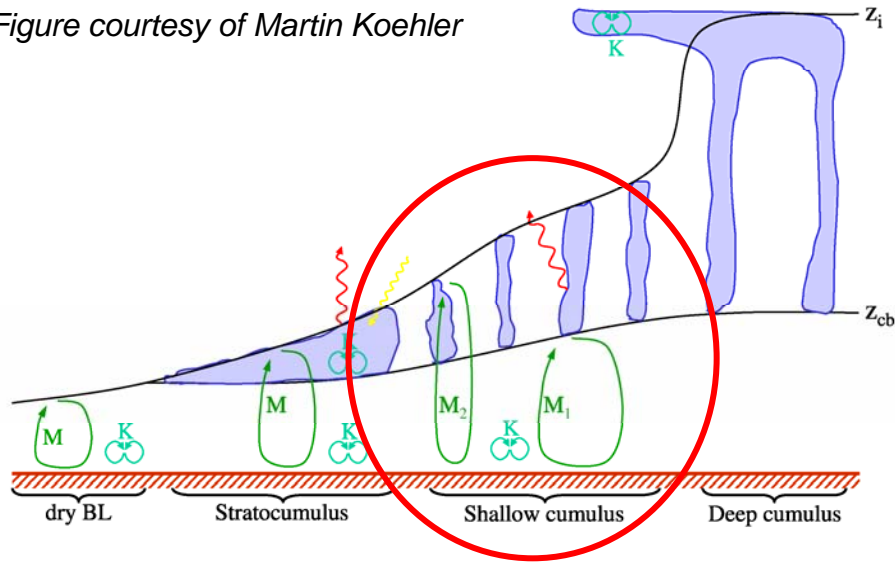
$$\approx -K \frac{\partial \bar{\phi}}{\partial z} + M (\phi_u - \bar{\phi})$$



••••

# Cumulus Topped Boundary Layer

Figure courtesy of Martin Koehler



Neggers, Kohler & Beljaars accepted for JAS 2008

alternatives: Lappen and Randall JAS 2001

Rio and Hourdin JAS 2008

Moist updraft

Dry updraft

$K$  diffusion

Flexible moist area fraction

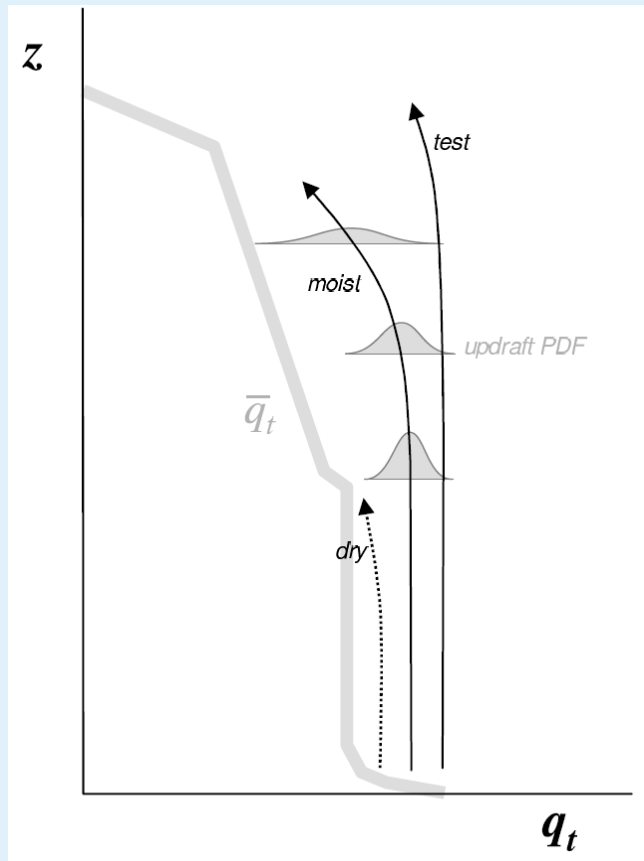
$$\overline{w' \phi'}_{PBL} = -K \frac{\partial \bar{\phi}}{\partial z} + \sum_{i=1}^N M_i (\phi_i - \bar{\phi})$$



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Top 10% of updrafts that is explicitly modelled



- Assume a Gaussian joint PDF( $\theta_l, q_t, w$ ) shape for the cloudy updraft.

- Mean and width determined by the multiple updrafts

- Determine everything consistently from this joint PDF

$$a, w_u, \theta_{l,u}, q_{t,u}$$

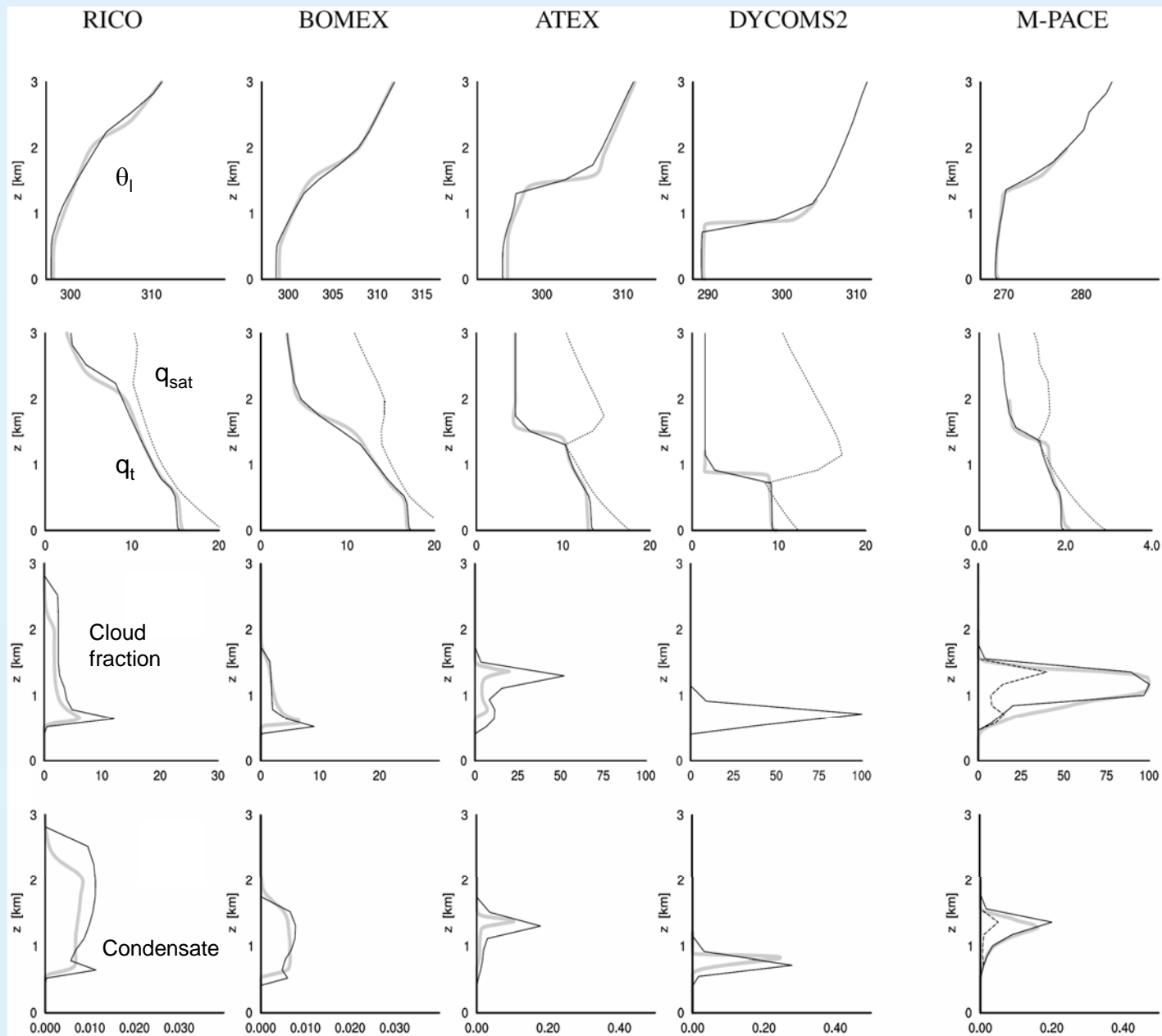
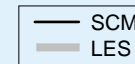
An reconstruct the flux:

$$\overline{w' \psi'} = a_u w_u (\psi_u - \bar{\psi})$$

### Remarks:

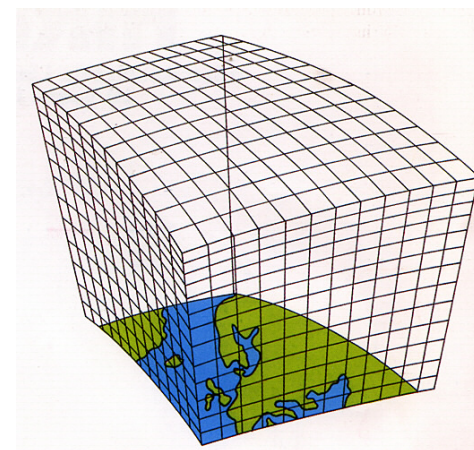
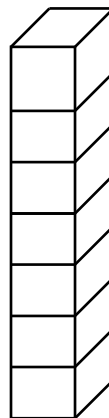
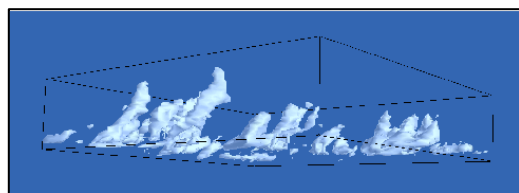
- No closure at cloud base
- No detrainment parameterization
- Pdf can be used for cloud scheme and radiation

# Tested for a large number of GCSS Cases.....



# A slow, but rewarding Working Strategy

See <http://www.gewex.org/gcss.html>



Large Eddy Simulation (LES) Models  
Cloud Resolving Models (CRM)

Single Column Model  
Versions of Climate Models

3d-Climate Models  
NWP's



Observations from  
Field Campaigns



Global observational  
Data sets

Development

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Testing

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Evaluation

# But... Many open problems remain

## Conceptually on process basis

- Convective Momentum Transport
- Influence of Aerosols/Precipitation on the (thermo)dynamics of Scv and Cv
- Mesoscale structures in Scv and Shallow Cv
- Transition from shallow to deep convection (deep convective diurnal cycle in tropics)
- What controls the low cloud fraction

## Parameterization

- Vertical velocity in convective clouds
- Convection on the 1km~10km scale. (stochastic convection)
- Microphysics (precip)
- Transition regimes.

## Climate

Determine and understand the processes that are responsible for the uncertainty in cloud-climate feedback.