

# Fast Vector Radiative Transfer Method Based on the Small-angle Approximation

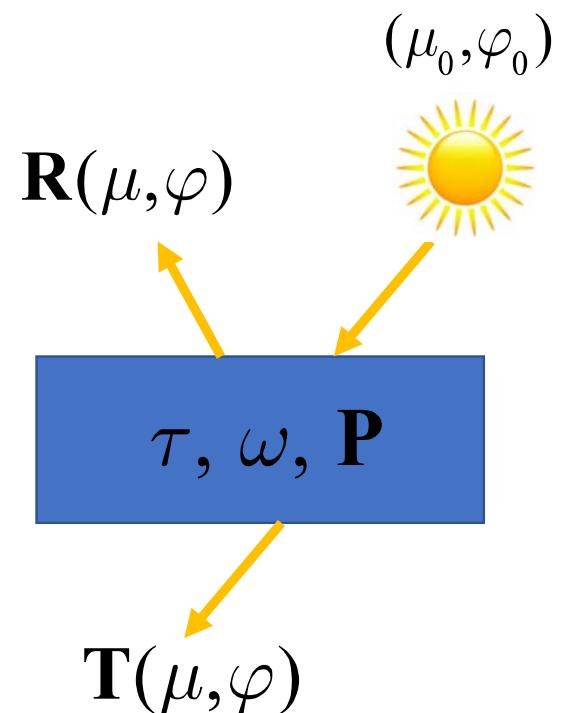
Bingqiang Sun (孙丙强)

Department of Atmospheric and Oceanic Sciences / Institute of Atmospheric Sciences,  
Fudan University, China  
(复旦大学 大气与海洋科学系/大气科学研究院)

# Background

- Stokes vector ( $I, Q, U, V$ )
- Vector Radiative Transfer Equation:

$$\mu \frac{d\mathbf{I}(\mu, \varphi)}{d\tau} = \mathbf{I}(\mu, \varphi) - \frac{\omega}{4\pi} \iint \mathbf{P}(\mu, \mu', \varphi - \varphi') \mathbf{I}(\mu', \varphi') d\mu' d\varphi'.$$



# Outline

- Introduction
- Method
- Validation
- Application
- Summary



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Outline

- Introduction
- Method
- Validation
- Application
- Summary



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Introduction

- Radiative transfer equation (RTE) is extensively discussed by many textbooks (Chandrasekhar 1960; Preisendorfer 1965; van de Hulst 1980; Liou 2002; ...)
- Methods:
  - Discrete-ordinate radiative transfer method, Adding-doubling method, Monte Carlo method, Successive order of scattering method, Matrix operator method, invariant imbedding method, ...
- Computational time:
  - Numerically accurate simulation of RTE in a strongly forward-scattering medium requires high computational effort.
- Approximations:
  - Van de Hulst and Grossman (1968) scale the optical thickness by a factor ( $1-\omega g$ ) and replace the original phase function with an isotropic counterpart.
  - Coakley and Chylek (1975), Liou (1974), Meador and Weaver (1980) and Liou et al. (1988) use the two-stream or four-stream approximations.
  - Potter (1970) uses the delta-function to replace the forward peak.
  - Joseph et al. (1976) and Wiscombe (1977a) uses the delta-Eddington approximation.
  - Wiscombe (1977b) introduces the  $\delta$ -M approximation and Hu et al. (2000) introduces the  $\delta$ -Fit approximation.



# Introduction

- The invariance of scaling of optical thickness and phase function is introduced by McKellar and Box (1981):

$$P \approx 2f\delta(1-\cos\Theta) + (1-f)P_s,$$

$$\tau_s = \tau(1-\omega f), \quad \omega_s = \frac{1-f}{1-\omega f} \omega.$$



# Introduction

- Small-angle approximation has been extensively used in multiple scattering of charged particles and radiative transfer ( e.g. Scott 1963; Ishimaru 1978; Sobolev 1975...).
- Nakajima et al. (1983), Nakajima and Tanaka (1988), and Weinman et al. (1975) uses the summation of Gaussian functions to replace the forward peak and the small-angle approximation is realized by the successive order of scattering method and the Hankel transform technique.
- Semi-analytical small-angle approximation is introduced by Sobolev (1975), Dolin (1973), Remizovich et al. (1982), Zege et al. (1987)...



# Outline

- Introduction
- Method
- Validation
- Application
- Summary



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Method

## Vector Radiative Transfer Equation (VRTM):

$$\mu \frac{d\mathbf{I}(\mu, \varphi)}{d\tau} = \mathbf{I}(\mu, \varphi) - \frac{\omega}{4\pi} \iint \mathbf{P}(\mu, \mu', \varphi - \varphi') \mathbf{I}(\mu', \varphi') d\mu' d\varphi'. \quad \mathbf{I}(\tau = 0, \mu, \mu_0, \varphi - \varphi_0) = \delta(\mu - \mu_0) \delta(\varphi - \varphi_0) (F_0 \ 0 \ 0 \ 0)^T.$$

### Decomposition:

$$\mathbf{P}(\mu, \mu', \varphi - \varphi) = f \mathbf{P}_f(\mu, \mu', \varphi - \varphi) + (1-f) \mathbf{P}_r(\mu, \mu', \varphi - \varphi),$$

$$\mathbf{I}(\mu, \varphi) = \mathbf{I}_f(\mu, \varphi) + \mathbf{I}_r(\mu, \varphi) + \mathbf{I}_e(\mu, \varphi).$$

### Three-Component VRTM:

Forward Equation:

$$\mu \frac{d\mathbf{I}_f(\mu, \varphi)}{d\tau} = \mathbf{I}_f(\mu, \varphi) - \frac{\omega_f}{4\pi} \iint \mathbf{P}_f(\mu, \mu', \varphi - \varphi') \mathbf{I}_f(\mu', \varphi') d\mu' d\varphi', \omega_f = \omega f;$$

Regular Equation:

$$\mu \frac{d\mathbf{I}_r(\mu, \varphi)}{d\tau} = \mathbf{I}_r(\mu, \varphi) - \frac{\omega_r}{4\pi} \iint \mathbf{P}_r(\mu, \mu', \varphi - \varphi') \mathbf{I}_r(\mu', \varphi') d\mu' d\varphi' - \frac{\omega_r}{4\pi} \exp(-\frac{\tau_r}{\mu_0}) \mathbf{P}_r(\mu, \mu_0, \varphi - \varphi_0) \mathbf{I}_r(\mu_0, \varphi_0).$$

$$\omega_r = \omega \frac{1-f}{1-\omega f}, \tau_r = (1-\omega f)\tau;$$



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Method

Error component:

$$\mu \frac{d\mathbf{I}_e(\mu, \varphi)}{d\tau} = \mathbf{I}_e(\mu, \varphi)$$

$$-\frac{\omega}{4\pi} \int_{4\pi} \mathbf{P}(\mu, \mu', \varphi - \varphi') \mathbf{I}_e(\mu', \varphi') d\mu' d\varphi' - \mathbf{J}_1(\mu, \varphi) - \mathbf{J}_2(\mu, \varphi),$$

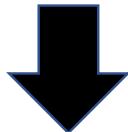
$$\mathbf{J}_1(\mu, \varphi) = \omega f \left[ \frac{1}{4\pi} \int_{4\pi} \mathbf{P}_f(\mu, \mu', \varphi - \varphi') \mathbf{I}_r(\mu', \varphi') d\mu' d\varphi' - \mathbf{I}_r(\mu, \varphi) \right],$$

$$\mathbf{J}_2(\mu, \varphi) = \frac{\omega(1-f)}{4\pi} \left[ \int_{4\pi} \mathbf{P}_r(\mu, \mu', \varphi - \varphi') \mathbf{I}_f(\mu', \varphi') d\mu' d\varphi' \right.$$

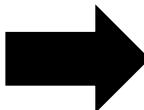
$$\left. - \exp\left(-\frac{(1-\omega_f)\tau}{\mu_0}\right) \mathbf{P}_r(\mu, \mu_0, \varphi - \varphi_0) \mathbf{E}_0 \right].$$

Forward Component:

$$\mu \frac{d\mathbf{I}_f(\mu, \varphi)}{d\tau} = \mathbf{I}_f(\mu, \varphi) - \frac{\omega_f}{4\pi} \iint \mathbf{P}_f(\mu, \mu', \varphi - \varphi') \mathbf{I}_f(\mu', \varphi') d\mu' d\varphi',$$



$$\mathbf{I}^f \approx \mathbf{I}_0^f + \mathbf{I}_1^f + \mathbf{I}_2^f$$



$$\mathbf{I}^f \approx \frac{F}{2\pi V} e^{-\frac{\theta^2}{2V}}.$$



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Outline

- Introduction
- Method
- Validation
- Application
- Summary

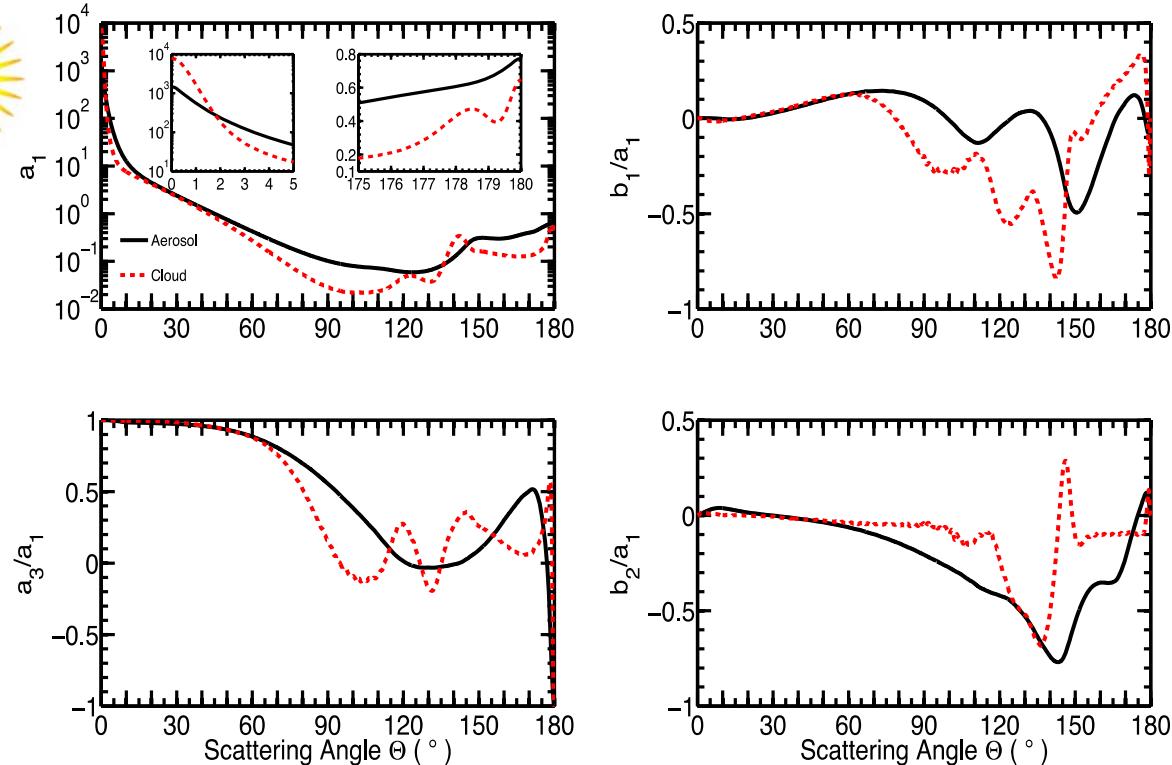
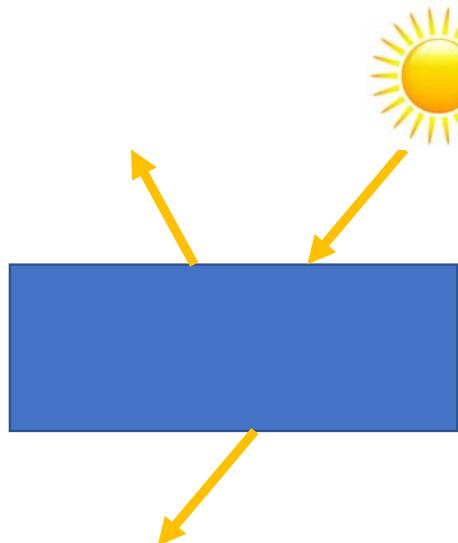


復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Validation



$$\begin{aligned}\mu_0 &= 0.5, \omega = 1, \\ \tau_{\text{aerosol}} &= 0.3262, \\ \tau_{\text{cloud}} &= 5.\end{aligned}$$

\*Figure 1. Phase matrix elements of predefined aerosol and cloud layers



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

\*Kokhanovsky et al., 2010: Benchmark results in vector atmospheric radiative transfer. *J. Quant. Spectrosc. Radiat. Transfer*, **111**, 1931-1946.

# Validation

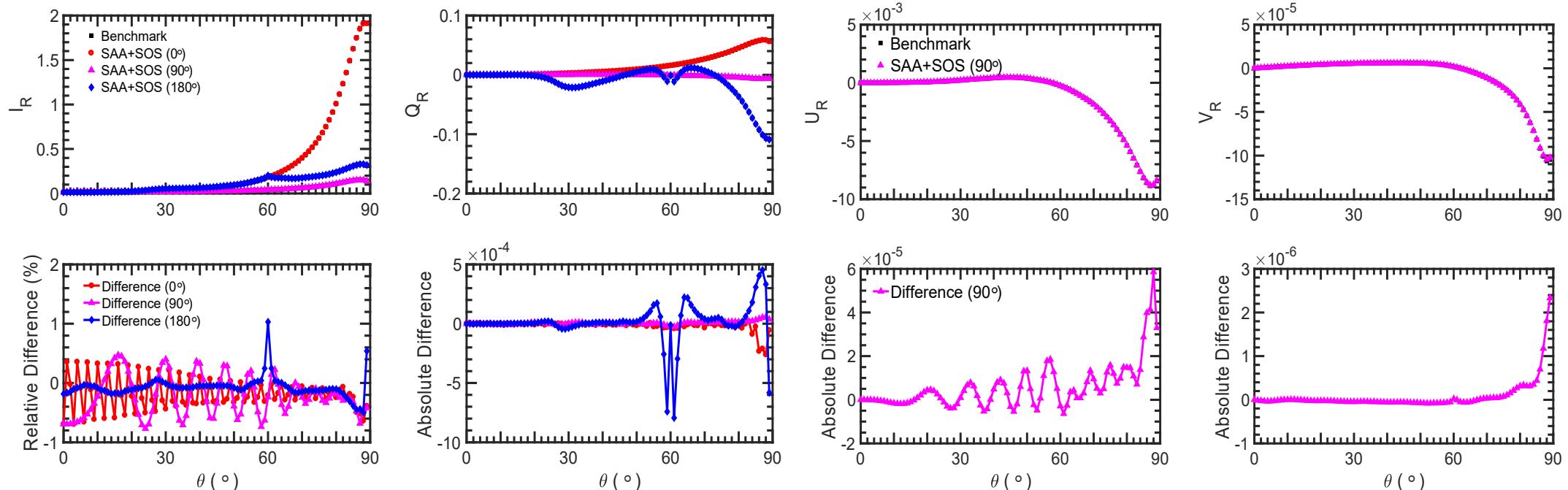


Figure 2. Stokes vector elements related to the reflected radiation and the differences in the case of aerosol.

# Validation

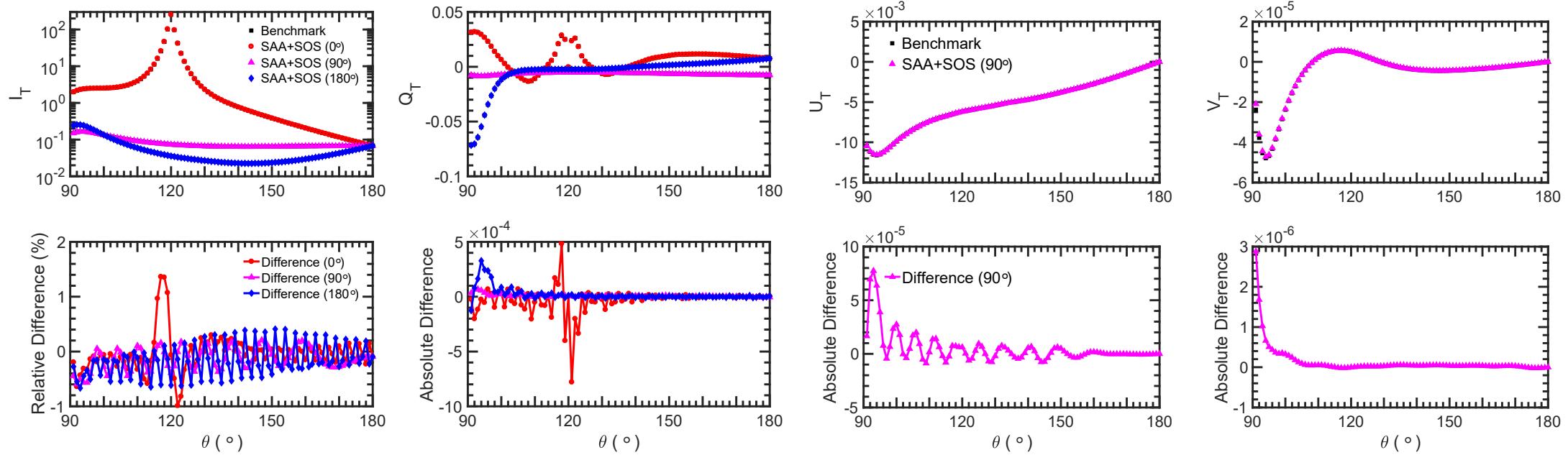


Figure 3. Stokes vector elements related to the transmitted radiation and the differences in the case of aerosol.

# Validation

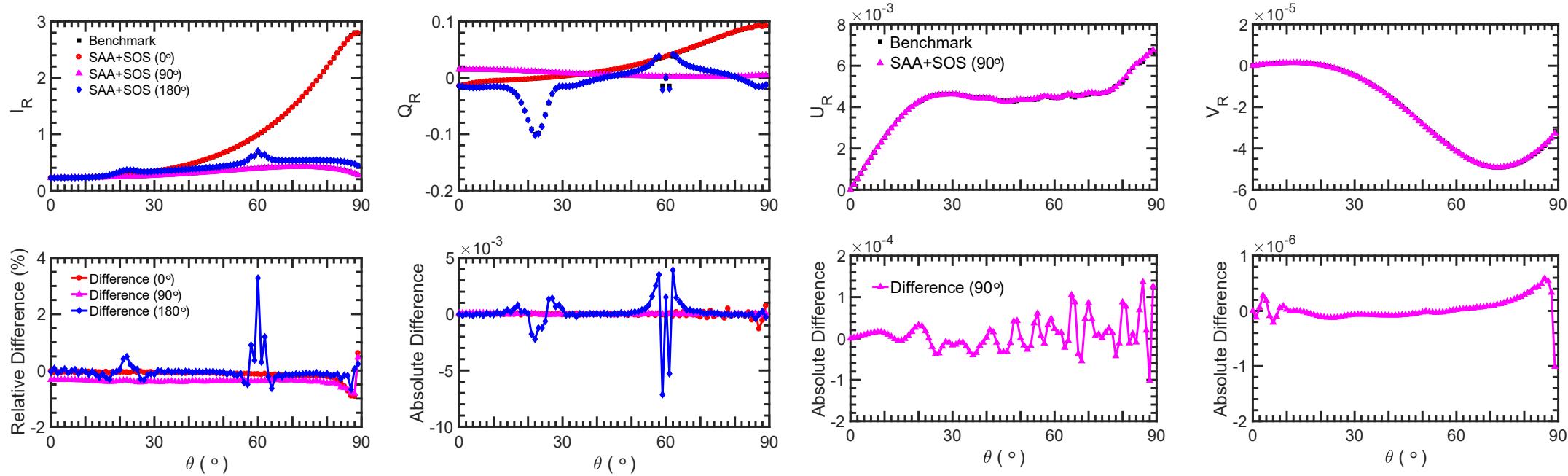


Figure 4. Stokes vector elements related to the reflected radiation and the differences in the case of cloud.

# Validation

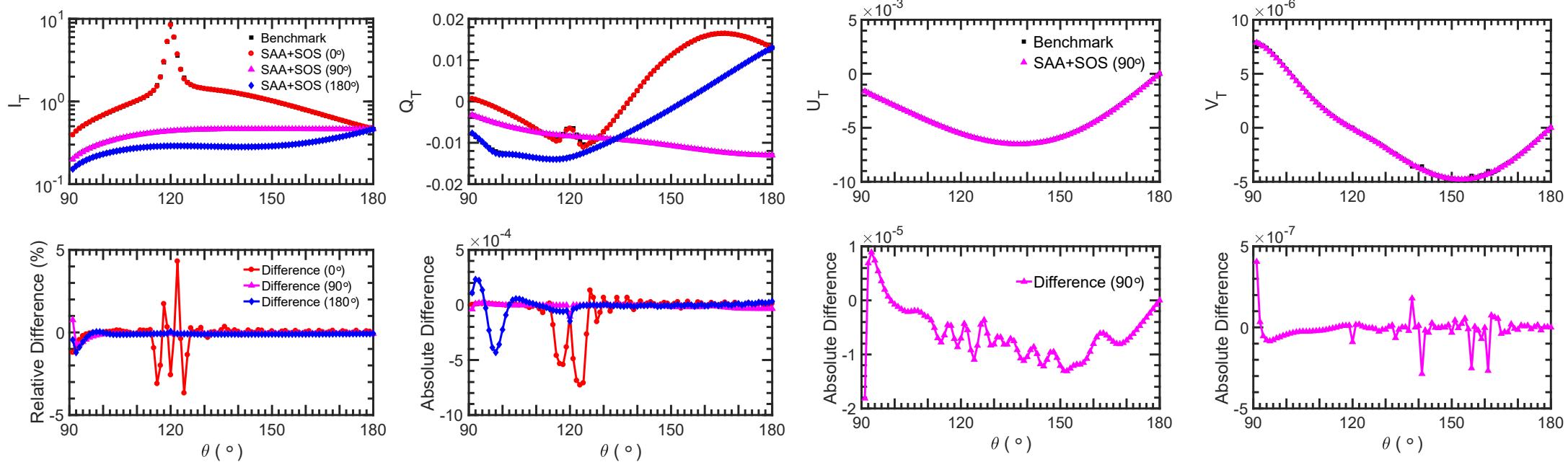


Figure 5. Stokes vector elements related to the transmitted radiation and the differences in the case of cloud.

# Outline

- Introduction
- Method
- Validation
- Application
- Summary



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Application

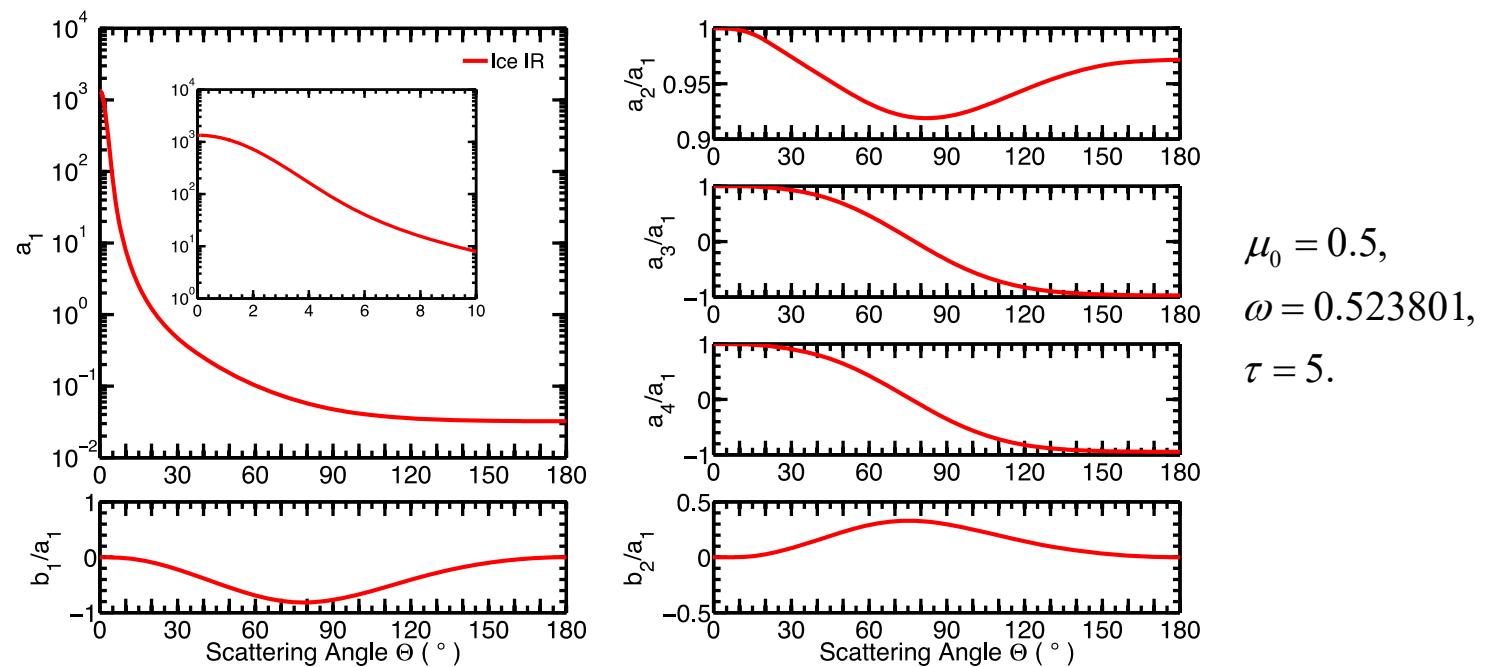


Figure 6. Phase matrix elements of ice cloud



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Application

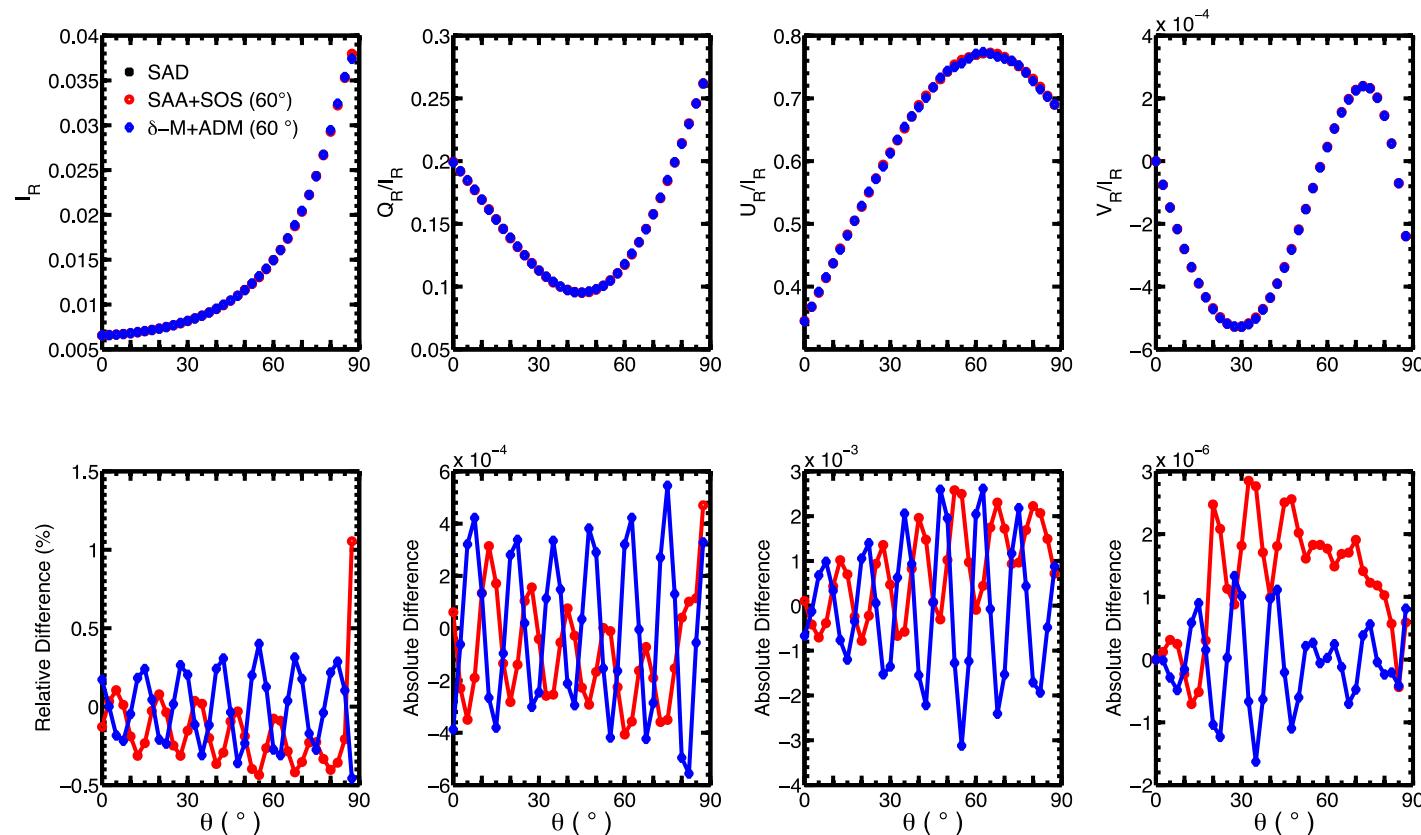


Figure 7. Stokes vector elements related to the reflected radiation



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Application

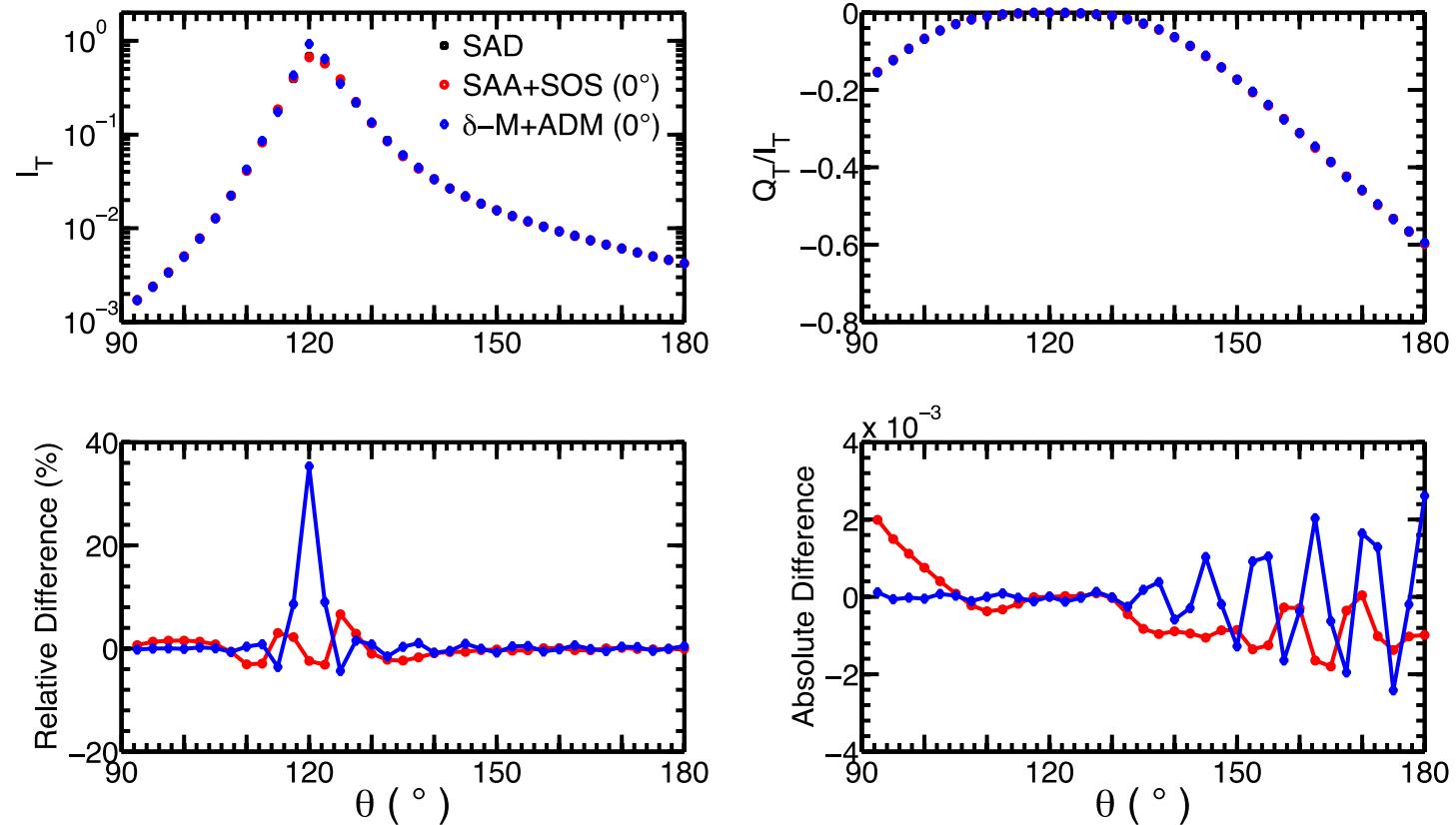


Figure 8. Stokes vector elements related to the transmitted radiation

# Application

	SAA+SOS			$\delta$ -M+ADM		
	M	GSFs	Time (s)	M	GSFs	Time (s)
Reflection	30	40	3.9	30	40	15.2
Transmission	30	40	7.2	30	40	14.8



# Outline

- Introduction
- Method
- Validation
- Application
- Summary



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

# Summary

- The methods combining the small-angle approximation and the successive order of scattering are validated using the benchmark results.
- The improvement and a forward model about radiative transfer are in progress.



復旦大學  
大气与海洋科学系  
DEPARTMENT OF ATMOSPHERIC AND OCEANIC SCIENCES  
FUDAN UNIVERSITY



復旦大學  
大气科学研究院  
INSTITUTE OF ATMOSPHERIC SCIENCES  
FUDAN UNIVERSITY

Sun B., Kattawar G. W., Yang P., and Mlawer E. J. (2017). An Improved Small-Angle Approximation for Forward Scattering and Its Use in a Fast Two-Component Radiative Transfer Method. *J. Atmo. Sci.*, **74**(6), 1959-1987.

		SAA+SOS						
		$n_f$	$\Delta\tau$	$\Theta_c(^{\circ})$	$N_{sca}$	$N_{src}$	M	GSFs
Aerosol Ref.	0°	1.0	0.1631	6	30	N/A	100	100
	90°	1.0	0.1631	6	30	N/A	100	100
	180°	1.0	0.1631	6	20	N/A	120	120
Aerosol Trans.	0°	1.0	0.1631	6	30	N/A	100	120
	90°	1.0	0.1631	6	30	N/A	120	120
	180°	1.0	0.1631	6	30	N/A	120	120
Cloud Ref.	0°	1.4	0.05	6	20	N/A	60	132
	90°	1.4	0.05	6	N/A	N/A	100	132
	180°	1.4	0.05	6	130	N/A	100	132
Cloud Trans.	0°	0.72	0.05	3	35	10	60	100
	90°	5	0.05	10	20	N/A	50	100
	180°	5	0.05	10	20	N/A	30	100
								16.8

